



Fine-scale spatial association between baleen whales and forage fish in the Celtic Sea

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1 **Title:**

2 Fine-scale spatial association between baleen whales and forage fish in the Celtic Sea

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21 **Abstract**

22

23 The Celtic Sea is a productive area, which attracts large baleen whales to feed, however little is
24 known about their foraging behaviour. The study aim was to know whether or not baleen whales
25 actively target forage fish or, on the contrary, is predation on the Celtic Sea plateau driven by
26 random encounters between prey and predator? Concurrent sighting surveys for fin, minke and
27 humpback whales (*B. physalus*, *B. acutorostrata* and *M. novaeangliae*) were carried out
28 simultaneously during a dedicated fisheries acoustic survey assessing the abundance and
29 distribution of forage fish from 2007 to 2013. Probabilities of spatial overlap on a resolution up to 30
30 km between baleen whales and forage fish were analysed and compared to the probability of a
31 random encounter. For estimations of foraging threshold and prey selectivity, average fish biomass
32 and fish length were calculated when baleen whales and forage fish co-occurred. Whales were found
33 to actively searched in areas with herring (*C. harengus*) and sprat (*S. sprattus*), while areas with
34 mackerel (*S. scombrus*) were not targeted. A foraging distance and prey detection range of up to 8
35 km was found, which enables baleen whales to track their prey to minimise search effort. Fish
36 densities within the defined foraging distance ranged from 0.001 to 3 kg m⁻² and were correlated to
37 total fish abundance. No prey size selectivity according to fish length was found. Selectivity and
38 active foraging behaviour in whale predation modify the forage fish mortality and should be
39 considered in an ecosystem-based management of the Celtic Sea resources.

40

41 **Keywords**

42

43 Fin whale (*Balenoptera physalus*); foraging; foraging distance; Herring (*Clupea harengus*); Minke
44 whale (*Balenoptera acutorostrata*); Sprat (*Sprattus sprattus*)

45 **Introduction**

46

47 Baleen whales undergo annual long distance migrations from mating grounds to nutrient rich
48 feeding grounds at high latitudes to feed on zooplankton and small pelagic fish (Corkeron and
49 Connor 1999; Clapham 2001; Kennedy et al. 2013). Within a conceptual foraging model, large
50 migrations of several thousands of kilometres can be seen as the first spatial scale of foraging
51 strategies (Kenney et al. 2001; Hazen et al. 2009). The spatial meso-scale is within hundreds of
52 kilometres to select a prey hot spot (an area with potentially high prey densities), while individual
53 foraging events take place on the scale of less than 10 km (Kenney et al. 2001; Hazen et al. 2009). As
54 prey abundance decreases in space and time, it can become advantageous for an animal to leave
55 and to explore new areas, if the potential value of the new area promises a net energetic gain
56 (Charnov 1976; Pyke et al. 1977). Tagging and mark/recapture studies have shown that baleen
57 whales visit several prey hot spots within the same region, but also leave an area to discover new
58 hot spots which involves longer travelling distances (Watkins et al. 1996; Zerbini et al. 2006;
59 Witteveen et al. 2008; Olsen et al. 2009; Silva et al. 2013; Feyrer and Duffus 2014; Kennedy et al.
60 2014). Prior knowledge due to matrilineal learning and site fidelity (the recurring search within a
61 certain area) can help baleen whales to accept or reject possible areas before visiting, thereby
62 attempting to prevent a negative energy balance (Pyke et al. 1977; Kenney et al. 2001).

63 Baleen whales can shape an ecosystem on multiple levels for instance by acting as nutrient vectors
64 and apex predators (Roman et al. 2014; Willis 2014). Therefore baleen whales should be given
65 attention within the assessment of an ecosystem as top predator and baleen whale impacts on prey
66 population dynamics should be explored within an ecosystem-based fishery management (Engelhard
67 et al. 2014, Link and Browman 2014; Travis et al. 2014). Results from photo-id surveys within the
68 Celtic Sea have demonstrated inter-annual resighting of both humpback (*Megaptera novaeangliae*)
69 and fin whale (*Balaenoptera pycsalus*) (Whooley et al. 2011; Ryan et al. 2015), suggesting some

70 seasonal site fidelity within and between years. A predation impact assessment requires an
71 understanding on local, small-scale baleen whale foraging decisions including prey selectivity,
72 foraging thresholds, foraging duration and habitat utilisation.

73 Atlantic herring (*Clupea harengus*), European sprat (*Sprattus sprattus*) and Atlantic mackerel
74 (*Scromber scombrus*) are abundant pelagic fish species in the Celtic Sea which support large scale
75 fisheries (Marine Institute 2013). Small pelagic fish are defined as forage fish because of their dense
76 schooling behaviour and position in the trophic food web as common prey for higher trophic levels
77 (Engelhard et al. 2014; Pikitch et al. 2014). The only reported in-situ diet analysis of baleen whales in
78 the Celtic Sea showed a preference by fin and humpback whales for sprat and juvenile herring (Ryan
79 et al. 2014). Are whales intermittently preying on forage fish while coincidentally passing the Celtic
80 Sea during migration? Or is the Celtic Sea plateau a prey hot spot where baleen whales directly and
81 reliably target herring, sprat and mackerel?

82 Referring to seven years of synoptic observed predator and prey distribution, we analysed the
83 spatial overlap of fin, minke (*Balaenoptera acutorostrata*) and humpback whales, which are the most
84 common baleen whales recorded in the Celtic Sea, with the presence of herring and sprat. Further,
85 where spatial overlap occurred, we calculated the average biomass and average fish length of forage
86 fish in proximity to the whale sighting. The results provide information on:

87 1. prey selectivity and habitat use of baleen whales, which can help to understand and quantify
88 foraging decisions;

89 2. potential predation of forage fish stocks, which can contribute to mortality rate estimations in
90 stock assessments;

91 3. trophic chain characterization in the Celtic Sea to improve ecosystem modelling allowing for
92 different set-ups e.g. increase of prey or predator abundances and different bottom-up or top-down
93 scenarios.

94

95

96 **Material and Methods**

97

98 **Fish data acquisition**

99 Acoustic data were collected from 2007 to 2013 during the annual Celtic Sea Acoustic Herring Survey
100 which occurs over 21 consecutive days each October in the Celtic Sea along the Irish South coast. A
101 calibrated Simrad EK60 echosounder recorded acoustic data continuously along pre-determined
102 transect lines with four frequencies (18, 38, 120 and 200 kHz). NASC (Nautical Area Scattering
103 Coefficient) data were obtained and integrated over the local depth and 1.85 km intervals into effort
104 blocks known as elementary distance sampling units (EDSUs). Echograms were identified to species
105 level based on species-specific acoustic signals and echotrace recognition, and ground-truthed with
106 directed fishing tows (O'Donnell et al. 2013). Only herring and sprat echotraces positively identified
107 were analysed in this study (O'Donnell et al. 2013). The average fish length (L , in cm) per species
108 from the closest geographical trawl to the respective EDSU was used to calculate the target strength
109 (TS) per fish species at 38 kHz with $TS = 20 \log L - 71.2dB$ for herring¹ and sprat.

110 No 38 kHz frequency data were available from 2010 due to a technical defect, so the 18 kHz signal
111 and an adjusted TS /length relationship was used instead (Saunders et al. 2012). No abundance was
112 estimated in 2010 for sprat, however the echotraces were used for the presence/absence analysis.
113 NASC values for herring and sprat were transformed into fish abundance per square metre and
114 multiplied with the average fish weight taken from the closest haul to obtain fish biomass per square
115 meter (B , in $kg\ m^{-2}$) (Simmonds and MacLennan 2005). NASC values for mackerel were used as

¹ For the year 2010: $TS = 20 \log L - 69.7dB$ for 18kHz and only for herring

116 indication for presence only and no biomass was calculated. No distribution data for mackerel were
117 available for 2010 and 2012.

118

119 **Simultaneous baleen whale observations**

120 During the survey, one observer kept a daylight watch recording marine mammal sightings from the
121 crow's nest (18 m above sea level) or from the bridge (11 m above sea level). All sightings in an area
122 up to 90 degrees to either side of the vessel were recorded. The field of view was constantly scanned
123 during watch hours by eye and through binoculars. For each sighting the following data were
124 recorded: time, location, species, distance, bearing, number of animals and behaviour. Only fin,
125 humpback and minke whale sightings recorded up to a maximum sea state of 5 were used in this
126 analysis. Whale sightings that could not be identified to species level (i.e. no body but the blow was
127 seen) were recorded as unidentified large whale sightings. A total of 113 baleen whale sightings
128 were recorded from 2007 to 2013 (Table 1). Here sightings were used as unit to describe the
129 presence of a whale, irrespective of group size per sighting. Generally most individuals were solitary,
130 but groups of up to 10 individuals were recorded within one sighting.

131

132 **Analysis of spatial co-occurrence and fish biomass within proximity**

133 Whale sightings were aligned with the acoustic data set from the respective year and fish biomass
134 (B_{area} in kg m^{-2}) was calculated for a circular area with different radii (R with 2, 4, 6, 8, 10, 14, 16, 18,
135 20, 25 and 30 km) centred to the whale sighting. Fish biomass within the area around the observed
136 whale sighting can identify a biomass target and foraging threshold of baleen whales. To calculate
137 B_{area} the average acoustic density over each transect (B_t) was weighted by the transect length (l),
138 summed and applied to the surface area:

$$B_{area} = \pi \times R^2 \sum^{transect} B_t \times l / \sum l$$

139 with R and l in meters and B_t as:

$$B_t = \sum B \times 1852/l$$

140

141 For each whale sighting, the presence of fish (defined as $B_{area} > 0$) was recorded for each radius and
 142 target fish species. The proportion of positive co-occurrence between whale sighting and fish was
 143 calculated for a total of 113 sightings over seven years. To test if any spatial overlap of baleen whale
 144 and pelagic fish species was coincidental, whale sightings were replaced by random points on the
 145 ship transect. Presence/absence analysis for each radius was repeated 200 times for the simulated
 146 random whale presences. The probabilities of a positive fish biomass per whale location (observed
 147 vs. simulated sighting) being significantly different to random were tested with a two-sided
 148 probability test of success (R function `prob.test`, “stats” package). When the test of disparity of
 149 probabilities was significant ($p < 0.05$), the null-hypothesis was rejected, meaning that spatial co-
 150 occurrence was not coincidental.

151

152 **Analysis on size selection by baleen whales**

153 Average fish length (\overline{TL}) and standard deviation were calculated for fish proximal to a whale sighting
 154 to explore if whales preferentially associate with or select certain prey sizes. The total length values
 155 recorded from the fishing trawls during the survey were averaged:

- 156 • \overline{TL}_{obs} : average length of the trawl geographically closest to the whale observation; here
 157 called “observations”;

- 158 • \overline{TL}_{sim} : average length of the trawl geographically closest to the simulated whale location;
159 here called “simulations”;
- 160 • \overline{TL}_{full} : average length of all trawl in the study area; here called “full survey”;

161 \overline{TL}_{obs} provided information on the size distribution close to a whale sighting and thus could
162 indicated a possible prey size selection by baleen whales. \overline{TL}_{sim} represented a random selection
163 from the stock and therefore should be similar to \overline{TL}_{full} . \overline{TL}_{obs} , \overline{TL}_{sim} and \overline{TL}_{full} were calculated
164 for each survey year and compared using a Tukey’s test.

165 All analyses were carried out using the open source statistical software "R" ([http://cran.r-](http://cran.r-project.org)
166 [project.org](http://cran.r-project.org)).

167

168

169 **Results**

170

171 **Spatial co-occurrence of baleen whales and forage fish**

172 The proportion of positive co-occurrence was calculated for a circular area centred on a whale
173 sighting with increasing distances (2 to 30 km). With increasing distance, the proportion of spatial
174 overlap increased (Figure 1). The proportion of spatial overlap with herring and sprat was very
175 similar, however when all fish species were combined, the spatial overlap of whale sightings within
176 proximity to fish was highest (Figure 1). Proportions obtained from simulated random whale
177 sightings showed the same pattern of increasing spatial overlap with distance (Figure 1). However, a
178 comparison of proportions of overlap showed significant differences between observed and
179 simulated data up to a distance of 8 km (Figure 1, Table 2). Within 8 km to a sighting, the null-
180 hypothesis could be rejected suggesting that occurrence of a whale sighting in proximity to herring

181 and sprat did not occur by chance (Table 2). For distances larger than 8 km, no difference between
182 observed and simulated co-occurrence events was detected ($p > 0.05$, Table 2), implying that any
183 spatial overlap of predator and prey over larger distances was coincidental. The proportion of co-
184 occurrence was highest with 0.83 within an 8 km radius, thus 94 of 113 whale sightings were seen in
185 proximity to potential prey (Table 2). The spatial overlap between mackerel and whale sighting was
186 not significant for any distances ($p > 0.05$, Table 2). In the Celtic Sea, baleen whales appeared to
187 actively search in the proximity to forage fish without differentiation between herring and sprat,
188 while mackerel did not appear to be targeted (Figure 2).

189

190 **Fish biomass within foraging distance**

191 Because mackerel may not be a target species for baleen whales in the Celtic Sea, only the acoustic
192 biomass of herring and sprat was calculated within the circular area with an 8 km radius. Sightings of
193 the three whale species were in proximity to fish biomass of 0.001 to 0.2 kg m⁻² (Figure 3),
194 representing 0.2 to 4 tonnes of fish within an 8 km radius. In years of high herring biomass recorded
195 during the acoustic survey (2010 to 2012, Figure 4) whales were more frequently observed in areas
196 with high herring biomass densities (Figure 3). In some years single, large herring schools were
197 recorded (Figure 2) and whales were seen in proximity to those schools, explaining the higher fish
198 biomass for 2008, 2011 and 2012 for fin whales and for minke whales between 2010 and 2012. Total
199 sprat biomass was much lower compared to the total herring biomass recorded during all surveys
200 (Figure 4). Sprat was targeted by fin whales only in the years with higher sprat biomass survey
201 estimates, while minke whales were observed in proximity to sprat irrespective of sprat biomass, i.e.
202 during all years (Figure 3 and 4).

203

204 **Fish size in proximity to the whale sightings**

205 Average fish length for herring and sprat was calculated for fish within 8 km to the whale sighting
206 and the simulated data, and then compared to the total average fish length of the survey per year.
207 No significant difference was detected for \overline{TL}_{sim} compared to \overline{TL}_{full} for neither herring nor sprat (p
208 = 0.68 and $p = 0.78$ respectively; Figure 5). \overline{TL}_{obs} in proximity to the observed whale sightings
209 followed the distribution of the surveys, without general significant differences to \overline{TL}_{full} ($p = 0.99$
210 for herring and $p = 0.53$ for sprat). Only in selected years, \overline{TL}_{obs} for herring was smaller (2008) and
211 larger (2013) compared to the herring \overline{TL}_{full} from the survey (Figure 5).

212

213

214 Discussion

215

216 Over 80% of the baleen whale sightings were recorded in close proximity to herring and sprat (56%
217 and 52% respectively), which are therefore likely to be actively search out by whales. No significant
218 spatial overlap was found for mackerel and baleen whales; hence mackerel does not appear to be
219 actively targeted by baleen whales in the Celtic Sea. Direct observations of mackerel made over
220 successive years during the survey found this species to form low density scattering and widely
221 dispersed layers as compared to the larger, higher density localised schools formed by herring and
222 sprat. The highest proportion of significant spatial overlap of prey and predator occurred within a
223 distance of 8 km. Fish biomass within the 8 km radius ranged between 0.2 and 4 tonnes (or 0.001 –
224 0.2 kg m⁻²). Fin and minke whales were actively targeting localised areas with the high herring
225 density in years where acoustic densities of herring were correspondingly high. Sprat was targeted in
226 all years by minke whales; however only in years with high sprat biomass survey estimates was sprat
227 also targeted by fin whales. This suggests a density-driven relationship of predator-prey co-
228 occurrence which is different for different whale species. No significant difference in the length

229 distribution of fish was found between herring and sprat in proximity to whales (to 8 km) and fish
230 that were encountered without a simultaneous baleen whale sighting. This suggests that, based on
231 spatial proximity that fin, humpback and minke whales engage in feeding without an explicit prey
232 size selection while in the Celtic Sea.

233

234 **Spatial co-occurrence of baleen whales and forage fish**

235 A set of circular areas with increasing radii around a whale sighting were tested to find the spatial
236 resolution of overlapping distribution. Overlap with fish further than 8 km to the sighting statistically
237 resembled a coincidental spatial overlap. However whale sightings were predominantly recorded in
238 close proximity to fish. However, not all whale sightings in proximity to fish correspond to actual
239 observed foraging behaviour. In fact, foraging was only observed in 20 out of the 113 sightings.
240 Diving and foraging have a high metabolic cost (Goldbogen et al. 2006, 2008) and single foraging
241 dives are often separated by several minutes of rest close to the surface (Goldbogen et al. 2013).
242 Considering that both the whale and the prey target are mobile, foraging events can occur on the
243 scale of several kilometres (Kenney et al. 2001; Hazen et al. 2009; Friedlaender et al. 2014). Minke
244 and humpback whales have swimming speeds of 3 to 6 km h⁻¹ and could cover 2 to 8 km within 30
245 minutes to 2 hours respectively, while fin whales have faster swimming speed of up to 20 km h⁻¹ thus
246 could swim 8 km in less than 30 minutes (Markussen et al. 1992; McDonald et al. 1995; Goldbogen
247 et al. 2006; Kennedy et al. 2013; Silva et al. 2013; Risch et al. 2014).

248 Within the concept of prey detection and foraging on a local small-scale (Kenney et al. 2001), a
249 maximum distance between predator and prey of less than 10 km could be the limit of baleen whale
250 detection range. Visual and acoustic cues originating from forage fish and other predators like
251 foraging seabirds and dolphins (Anderwald et al. 2011), could be received within this distance and
252 attract baleen whales to the prey source. Additionally, fish schools can be detected, tracked and

253 preyed on, while energetic costs for a new search effort and relocation may be reduced. A distance
254 of less than 10 km appears to be a profitable, easy reachable distance for foraging by staying close -
255 but not too close - to prey. Significant spatial overlap of baleen whales with prey was found for
256 herring and sprat, which are known prey items of baleen whales in the region (Ryan et al. 2014), the
257 North Atlantic and the North Sea (Haug et al. 1997; Olsen and Holst 2001; Pierce et al. 2004).
258 Mackerel was not targeted by baleen whales in the Celtic Sea even though it has been found as prey
259 together with other species in one minke whale stomach and been mentioned as prey for humpback
260 whales (Olsen and Holst 2001; Clapham 2002). Their infrequencies in stomach contents of baleen
261 whales together with the non-significant spatial overlap in the Celtic Sea, indicates that mackerel
262 itself is not a prey target, but may be consumed while preying on mixed fish schools. Unlike
263 mackerel, herring and sprat contain a swimbladder, which can produce sounds and can give visual
264 cues (Wahlberg and Westerberg 2003; Wilson et al. 2004; Hahn and Thomas 2008) which could
265 facilitate the detection of Clupeids species for baleen whales. At the time of sampling, in October,
266 mackerel are more dispersed, forming scattered foraging layers as opposed to dense schools, which
267 are known for herring and sprat. Hence foraging on mackerel could be less rewarding energetically
268 compared to the high density of herring and sprat schools.

269 Prey density distribution and environmental descriptors like sea surface temperature have been
270 used as explaining factors for whale distribution on feeding grounds using multivariate models (e.g.
271 generalized additive models, GAMs) (e.g. Friedlaender et al. 2006; Ingram et al. 2007; Hazen et al.
272 2009; Laidre et al. 2010; Anderwald et al. 2012; Nøttestad et al. 2014). In some studies, no or only
273 weak spatial overlap of forage fish and baleen whales was found, which could be due to non-
274 matching spatial and temporal resolution in the data (Laidre et al. 2010; Nøttestad et al. 2014). Here
275 the acoustic survey for the Celtic Sea herring provided a valuable opportunistic platform of obtaining
276 high-quality fish distribution and abundance information with synoptic baleen whale occurrence.
277 Herring is known to be randomly distributed in patches with a strong attraction to coastal spawning
278 grounds but without being influenced by temperature or salinity in the region (Volkenandt et al.

279 2015). Following a random, patchy, prey distribution, we suggest that baleen whale distribution
280 would be less influenced by a continuous variable like temperature, which has less variability in this
281 area compared to that encountered by baleen whales during migration (Piatt et al. 1989). Based on
282 high-resolution spatial distribution data of predator and prey with high level of synchrony, a general
283 comparison between distances of observed and simulated baleen whale sightings to prey abundance
284 as single variable has highlighted the importance of the Celtic Sea plateau as a prey hot spot for
285 baleen whales.

286

287 **Fish biomass and average length within an 8 km foraging distance**

288 Fish densities of herring and sprat within an 8 km radius to the whale sighting were variable and
289 skewed to lower fish densities. To calculate fish densities, biomass observations with a 1.85 km
290 resolution were extrapolated over the circular area. Hence low biomass densities can still represent
291 a single large school surrounded by zero values due to the patchy distribution of forage fish schools
292 (Volkenandt et al. 2015). With calculated daily consumption rates for baleen whales (Fin whales 981
293 kg; Minke whales 165 kg and Humpback whales 621 kg with respective large confidence intervals,
294 see Smith et al. 2014) the observed low fish densities equalling 0.2 to 4 tonnes over the 8 km radius
295 could still sustain an energetic return on foraging. Sprat was targeted by fin whales in years when
296 total stock biomass as determined by the acoustic survey data was also high, supporting a suggested
297 prey biomass- and foraging threshold for baleen whales (Piatt and Methven 1992; Goldbogen et al.
298 2011; Feyrer and Duffus 2014; Friedlaender et al. 2014), especially for fin whales but less for minke
299 whales.

300 No significant differences were found between average fish length in proximity to baleen whales and
301 the overall fish length distribution. Hence baleen whales approach forage fish that are abundant in
302 the environment without apparent prey size selection. Exceptions occurred in 2008 and 2013 for

303 herring which could be due to a high abundance of one-year old herring in 2008 and the respective
304 higher abundance five years later (Figure 6); however no selectivity could be found for other years
305 even with a higher abundance of young herring. An in-depth analysis of length-frequencies and year
306 class abundances is necessary to explore possible selectivity by prey size. We suggest that baleen
307 whales non-selectively target herring and sprat according to their availability in the Celtic Sea based
308 on spatial correlation, which does not necessarily imply actual foraging. To date the only available
309 dietary data originating from stable isotope analysis in the Celtic Sea indicated a selectivity for
310 smaller sized fish (sprat and juvenile herring) followed by larger size herring (age 2 to 4) by baleen
311 whales (Ryan et al. 2014), which could support the deviation to the overall abundant prey sizes in
312 certain years.

313

314 **Ecosystem implication**

315 The current study showed that baleen whales actively search for forage fish in the Celtic Sea, which
316 can be identified as a prey hot spot. This is a first and necessary initial step for future studies on
317 baleen whale foraging on small pelagic fish in the Celtic Sea. After the spatial link between predator
318 and prey, predation will have to be further specified. Geographic memories and site fidelity could be
319 directing foraging decisions of baleen whales on larger spatial scales, while acoustic and visual cues
320 together with prey densities and energetic net gain could be local drivers on a small-spatial scale
321 (Kenney et al. 2001). Residency, and hence predation pressure on forage fish, could be linked to the
322 net-energetic gain. Optimal foraging depends on the time spent in a patch as the net-energetic gain
323 decreases with the removal of prey (Charnov 1976; Pyke et al. 1977). A negative energy balance, e.g.
324 via prey depletion and an increase effort for foraging (due to less dense fish schools occurring after
325 the spawning period) could result in the decision to leave the Celtic Sea plateau to travel to more
326 distant, zooplankton rich foraging areas along the Celtic Sea shelf edge (Ryan et al. 2014). Tagging
327 experiments could provide further valuable information on habitat use and foraging ecology of

328 baleen whales in the Celtic Sea and if whales remain longer in patches of high fish densities
329 (Goldbogen et al. 2013).

330 While no prey size selectivity was evident, predation can influence the natural mortality estimates of
331 all age classes. Notably, when fish species were treated separately, spatial overlap occurred for 56%
332 and 52% of the whale sightings for herring and sprat respectively, while the percentage was
333 increased to 80%, when species were combined to resemble a forage fish community. Herring is
334 well-studied in the Celtic Sea, but much less is known about sprat. In a changing ecosystem with
335 increasing herring and sprat total stock biomass, the inter-species specific fish population dynamics
336 will become important together with the impact it could have on baleen whale foraging decisions.
337 Here, sprat became a more attractive target for fin whales with increased biomass . Within an
338 ecosystem-based management, predator, prey and their interactions have to be accounted for (Link
339 and Browman 2014). Hence, after acknowledging the importance of the Celtic Sea as a prey hotspot
340 for baleen whales, further research on predator population and their foraging decisions as well as on
341 prey population dynamics is necessary.

342

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344

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Draft

498 **Tables**

499 Table 1. Overview of cetacean watch effort (in hours) and sightings per unit effort (n per hour) from
500 2007 to 2013 with the respective number of sightings of baleen whales on species level.

	total	2007	2008	2009	2010	2011	2012	2013
Hours of effort	626	96	79	78	88	78	110	97
Sightings per unit effort	0.18	0.15	0.18	0.22	0.10	0.36	0.15	0.15
Total baleen whale sightings	113	14	14	17	9	28	16	15
Fin whale	61	3	9	4	3	24	12	6
Minke whale	30	8	5	8	1	4	2	2
Humpback whale	2	1			1			
Unident. baleen whale	20	2	0	5	4	0	2	7

501

502

503 Table 2. Number of events of spatial co-occurrence between baleen whales and forage fish, herring,
504 sprat and mackerel for increasing radii (in km) centred to the whale. The total number of observed
505 (obs.) and simulated (sim.) whale sightings are given as “n”. Significant differences of probabilities
506 between observation and simulation were calculated, p-value rounded to two decimals and
507 significant events are highlighted in bold ($p < 0.05$).

	forage fish			herring			sprat			mackerel			
	obs.	sim.	<i>p</i>	obs.	sim.	<i>p</i>	obs.	sim.	<i>p</i>	obs.	sim.	<i>p</i>	
n	113	22600		113	22600		104	20800		88	17600		
radius (km)	2	43	4630	<0.01	25	2671	<0.01	14	1473	0.03	4	501	0.54
	4	60	8206	0.02	33	4928	0.17	33	2895	<0.01	8	978	0.26
	6	80	11086	0.01	50	6779	0.03	48	4300	<0.01	9	1541	0.76
	8	94	14191	0.05	63	8797	0.03	54	6116	<0.01	14	2197	0.49
	10	96	15966	0.21	68	10142	0.07	57	7421	0.01	18	2765	0.38
	14	98	18759	0.80	76	12607	0.23	65	10111	0.13	24	3960	0.47
	16	105	19890	0.74	79	13861	0.41	73	11479	0.13	28	4755	0.52
	18	105	20449	0.90	81	14581	0.52	76	12382	0.20	33	5287	0.33
	20	107	20963	0.93	84	15380	0.59	78	13325	0.33	40	5984	0.15
	25	110	21637	0.95	89	16868	0.76	82	14779	0.53	47	7354	0.20
30	112	22077	0.97	95	18133	0.79	84	15840	0.74	49	8586	0.51	

508

509

510 **Figure legends**

511 Figure 1 The proportion of positive spatial overlap of a whale sighting and the presence of fish is
512 shown for herring, sprat and mackerel and their combination here defined as forage fish. Observed
513 proportions of overlap are shown (closed lines) and compared to simulated data (dotted lines) with
514 increasing distance to the whale sighting. Significant differences ($p < 0.05$) between the two models
515 are shown. The black vertical line indicated the break in significance with distances larger than 8 km.

516

517 Figure 2 Visualisation of the fish and whale sighting distribution in the Celtic Sea from 2007 to 2013.
518 Whale sightings with fish within 8 km to the sighting are indicated (black squares), while no spatial
519 overlap is indicated with a cross. Fish biomass (coloured points) has been calculated based on the
520 NASC values per EDSU from the acoustic survey (grey points). No biomass was calculated for sprat in
521 2010 and mackerel at any year; NASC values were seen as presence only (light blue point).

522

523 Figure 3 Calculated fish biomass by year for herring and sprat over the circular area of 8 km distance
524 to the whale sighting is shown for respective whale species. (in colour in the online version)

525

526 Figure 4 Total herring and sprat biomass observed during the surveys in tonnes per thousand over
527 the entire survey area. No biomass was estimated for sprat in 2010. Note different scales on the y-
528 axis.

529

530 Figure 5 Average fish length for herring and sprat within 8 km to the observed and simulated sighting
531 compared to the average length of fish recorded for the full survey. No whale sightings were
532 recorded within proximity to sprat in 2008 and no data was available for sprat in 2012.

533

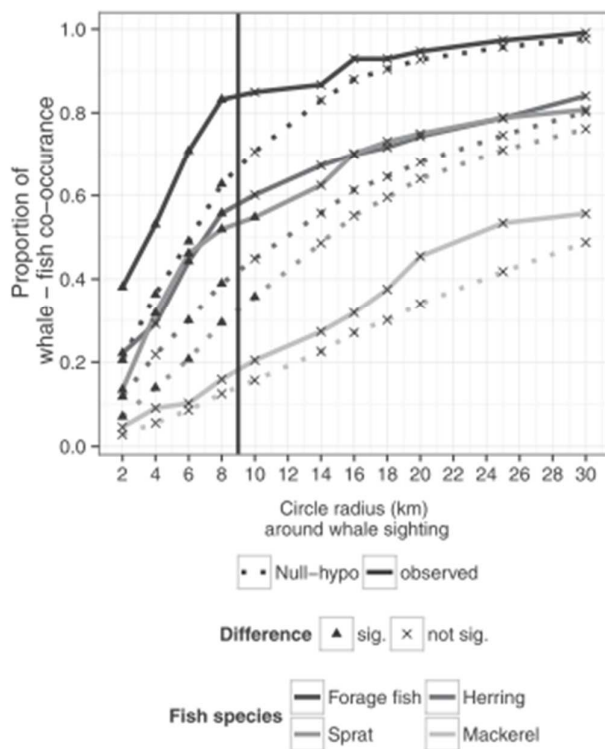
534 Figure 6 Herring abundance by age class and average length per age is given. Numbers were
535 obtained from the Celtic Sea herring stock assessment (HAWG 2014).

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Draft

537 **Figures**

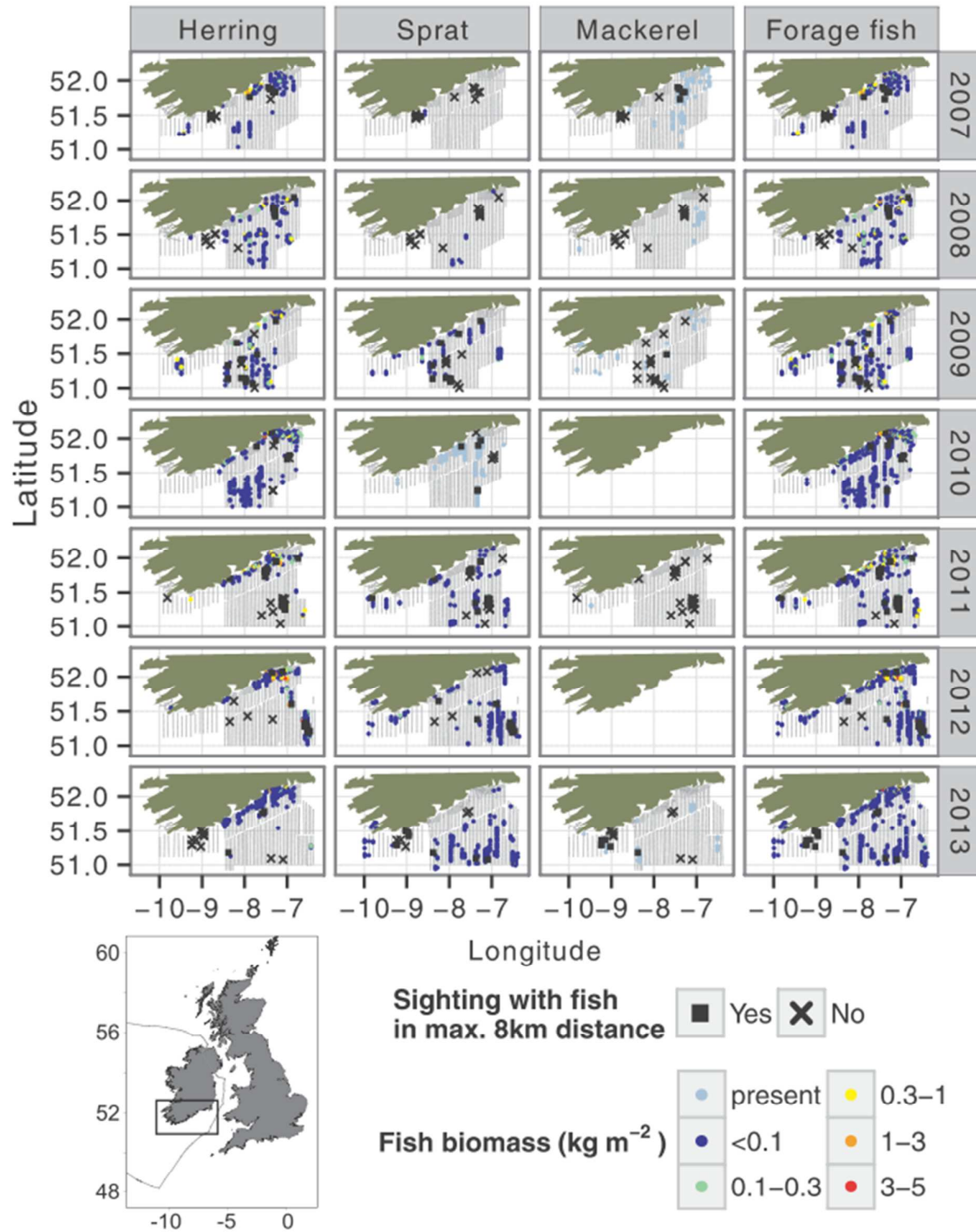
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539

540 Figure 1 The proportion of positive spatial overlap of a whale sighting and the presence of fish is
 541 shown for herring, sprat and mackerel and their combination here defined as forage fish. Observed
 542 proportions of overlap are shown (closed lines) and compared to simulated data (dotted lines) with
 543 increasing distance to the whale sighting. Significant differences ($p < 0.05$) between the two models
 544 are shown. The black vertical line indicated the break in significance with distances larger than 8 km.

545



546

547 Figure 2 Visualisation of the fish and whale sighting distribution in the Celtic Sea from 2007 to 2013.

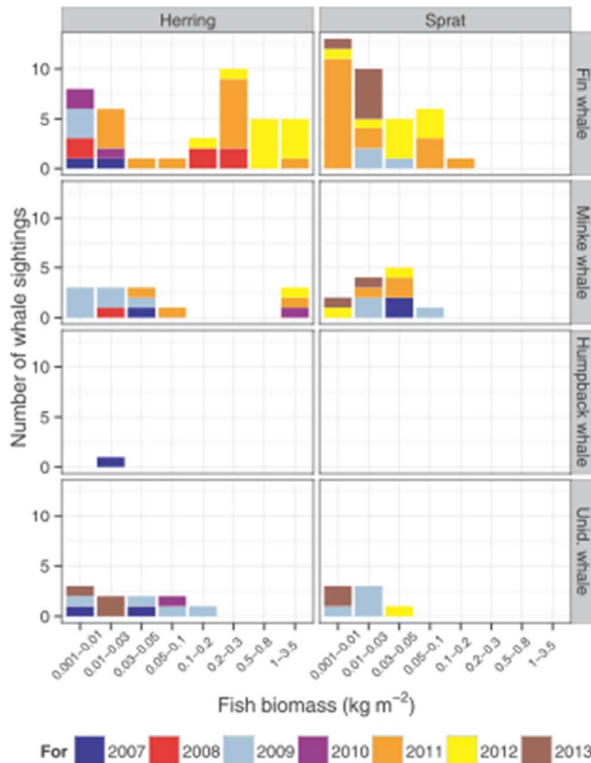
548 Whale sightings with fish within 8 km to the sighting are indicated (black squares), while no spatial

549 overlap is indicated with a cross. Fish biomass (coloured points) has been calculated based on the

550 NASC values per EDSU from the acoustic survey (grey points).

551 No biomass was calculated for sprat in 2010 and mackerel at any year; NASC values were seen as
 552 presence only (light blue point).

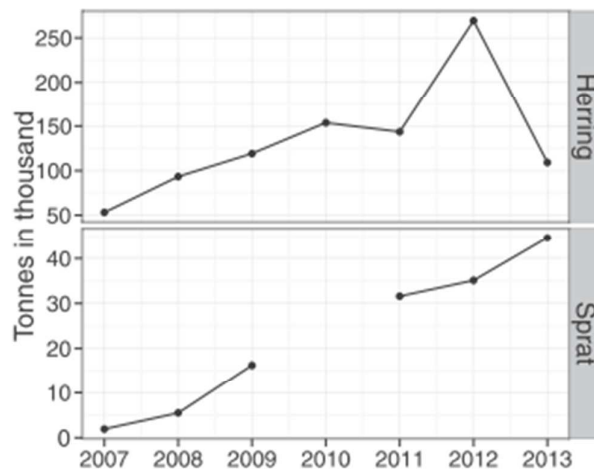
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554

555 Figure 3 Calculated fish biomass by year for herring and sprat over the circular area of 8 km distance
 556 to the whale sighting is shown for respective whale species. (in colour in the online version)

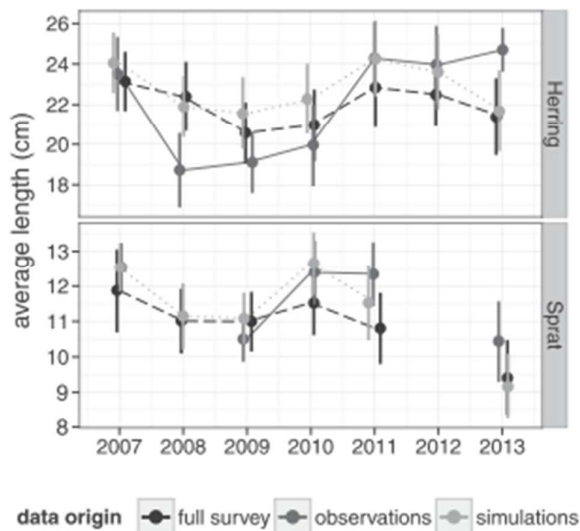
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559 Figure 4 Total herring and sprat biomass observed during the surveys in tonnes per thousand over
 560 the entire survey area. No biomass was estimated for sprat in 2010. Note different scales on the y-
 561 axis.

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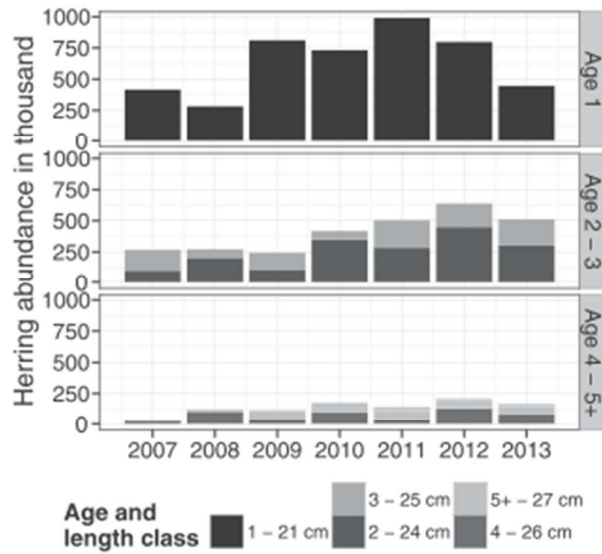


563

564 Figure 5 Average fish length for herring and sprat within 8 km to the observed and simulated sighting
 565 compared to the average length of fish recorded for the full survey. No whale sightings were
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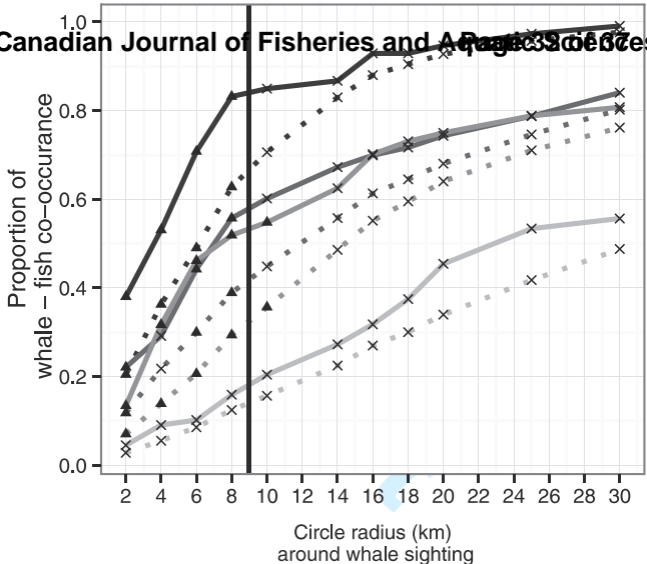
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567



568

569 Figure 6 Herring abundance by age class and average length per age is given. Numbers were
 570 obtained from the Celtic Sea herring stock assessment (HAWG 2014).

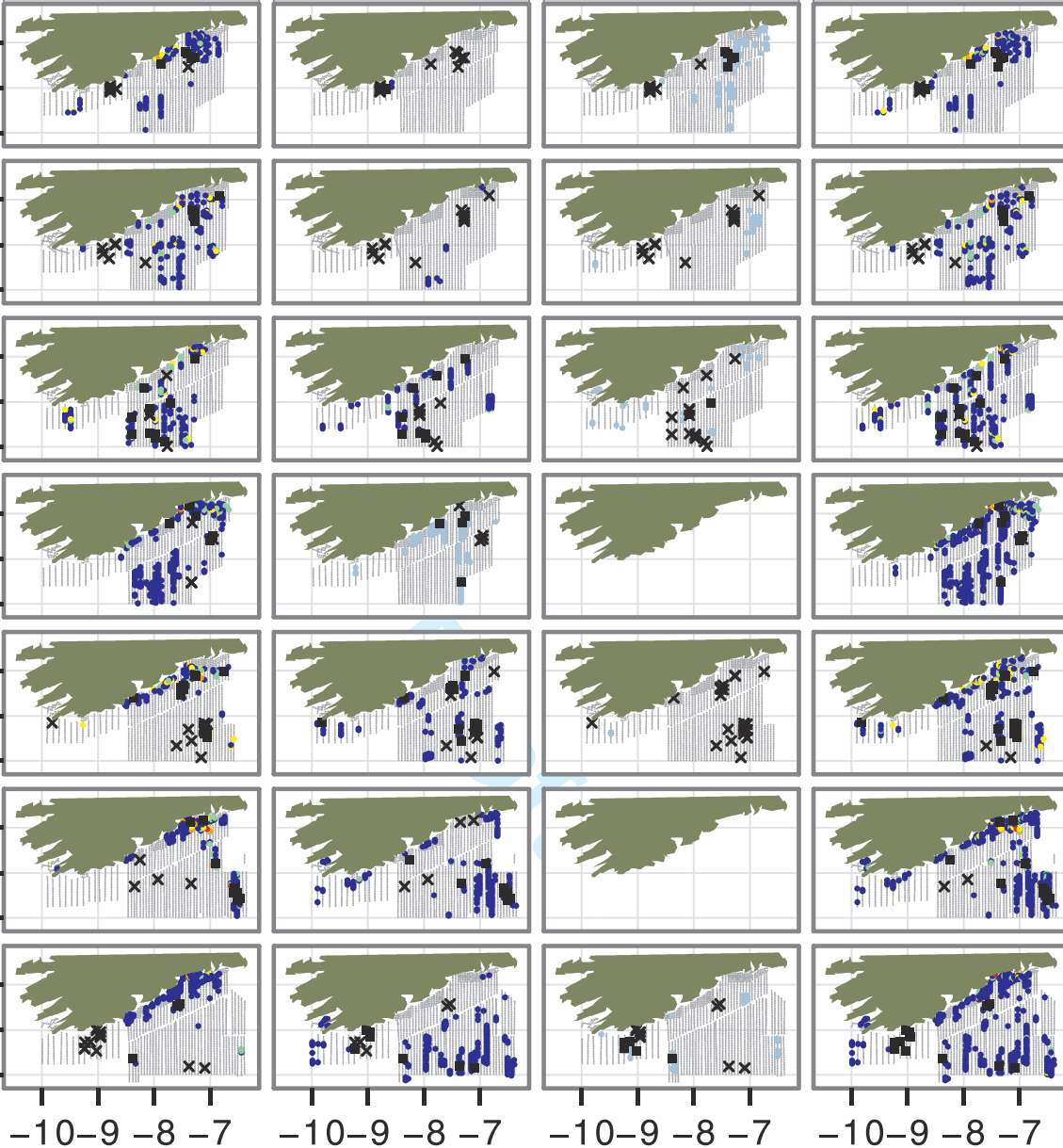


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Fish species: Forage fish, Herring, Sprat, Mackerel

Latitude

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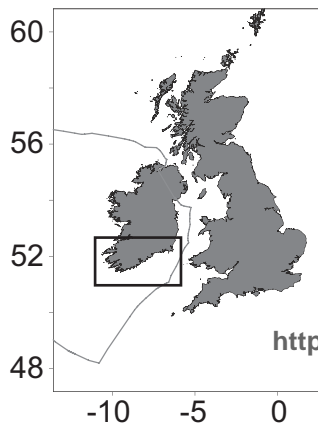
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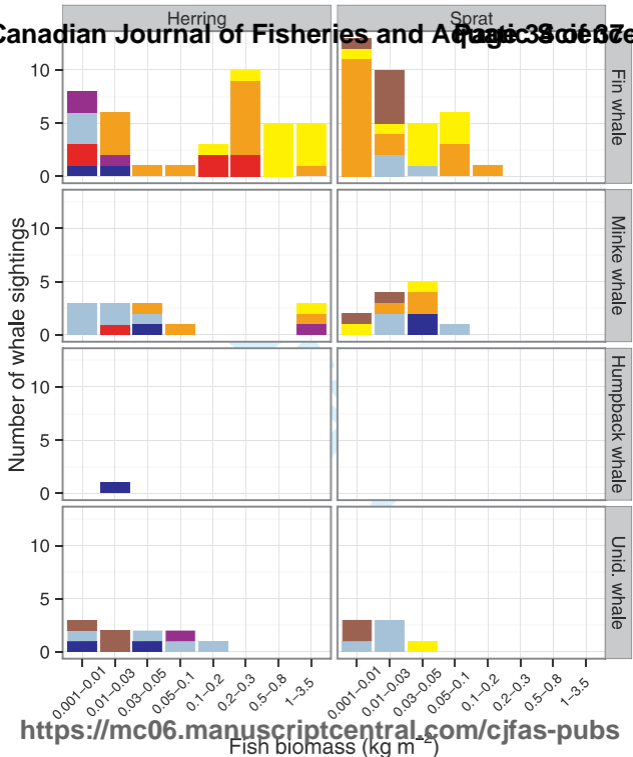
-10 -9 -8 -7 -10 -9 -8 -7 -10 -9 -8 -7 -10 -9 -8 -7

Longitude

Sighting with fish in max. 8km distance ■ Yes × No

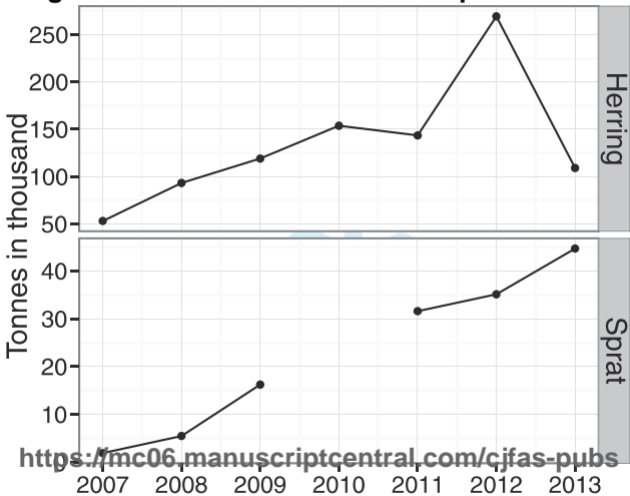
Fish biomass (kg m⁻²)

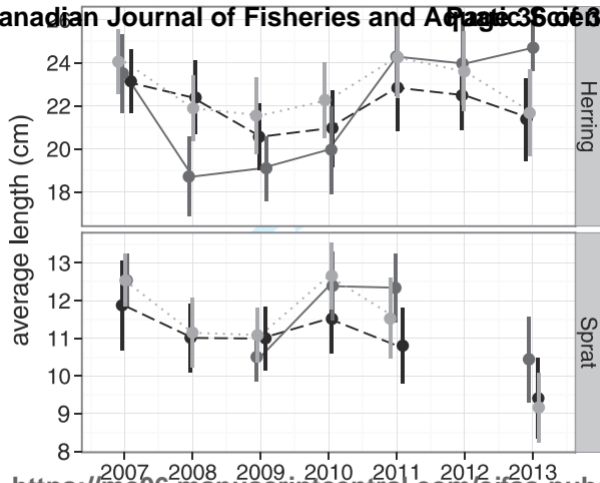




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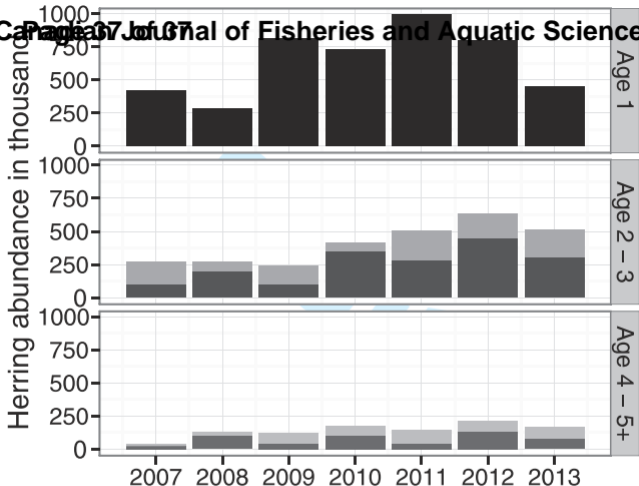






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data origin  full survey  observations  simulations



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Age and length class

- 1 - 21 cm
- 2 - 24 cm
- 3 - 25 cm
- 4 - 26 cm
- 5+ - 27 cm