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## Review

## Plasmonic-based nanomaterials for environmental remediation

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## ABSTRACT

Technologies based on nanomaterials are gaining increased attention as a promising method for the removal of contaminants and inactivation/killing of pathogenic microorganisms. Plasmonic nanomaterials prove to be promising in this field due to their tailored properties, including optical, photothermal, conductive, and catalytic properties. These properties have been widely used for the design of efficient materials for the environmental applications by improving the light absorption efficiency, redox reaction kinetic rates, and charge separation efficiency. In the current review, the tailored properties of plasmonic nanomaterials and how they are employed for the design of efficient environment-functional materials are discussed in detail. A number of examples for the development of composite plasmonic nanostructures such as metal/semiconductor, metal/insulator/semiconductor, and metal/semiconductor/semiconductor are provided.

In addition, the recent achievements in plasmonic nanomaterials for the removal of contaminants (in both liquid and gaseous media) and the inactivation of pathogenic microorganisms are described with a number of examples. The major challenges in employing plasmonic nanomaterials for environmental applications are identified as: (1) complete mineralization of contaminants must be achieved in some cases due to the potential risks of intermediates; (2) the cost of plasmonic nanomaterials and the associated treatment processes need to be significantly decreased; (3) the stability of plasmonic nanomaterials in real environmental matrices is urgently needed to be improved; (4) the ecological safety of these nanomaterials should be investigated extensively. However, it is expected that with continuous progress of this field, plasmonic nanotechnology can be used for environmental applications more widely, not only for the examples shown in the current review, but also for soil remediation, resource recovery during waste treatment processes, and detection of contaminants. Finally, the toxicity of engineered plasmonic nanomaterials, the possibility of their release, fate, and transformation, in the environment and subsequent impact on the health of ecosystem are also addressed in detail.

## 1. Introduction

Environmental pollution due to the population growth and rapid industrialization of the developing regions has become a critical issue in recent times. The development of civilization has caused pollution of air, soil and water, which significantly poses threats to both human health and ecological safety. For example, water pollution causes approximately 14,000 deaths per day in developing countries, mostly because of drinking water contamination [1,2]. Some emerging contaminants which cannot be removed by traditional water treatment processes are now causing serious concerns [3,4]. Numerous scientific and technological efforts have been made to resolve these problems

[5,6]. Among all the approaches, technologies based on nanomaterials are playing an increasingly important role. Early efforts on developing nanomaterials for contaminant removal mainly focused on photocatalysis for the degradation of industrial dyes [7,8]. More recently, several emerging organic contaminants such as endocrine disrupting chemicals and pharmaceutical effluents and personal care products have also been chosen to be the target contaminants since they are not effectively treated by conventional water treatment processes [9,10]. So far, technologies based on nanomaterials have also been used to address environmental issues of aqueous contaminants [11,12], oil spills [13] and air pollutants [14]. Some practical applications have been developed, such as the fabrication of TiO<sub>2</sub>-coated glass, which is

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antifogging and self-cleaning [15]. In addition, the environmental applications of photocatalysis have expanded to a wider scope, including self-cleaning of buildings, inactivation and detection of microorganisms, and detection of pollutants [16]. It is also reasonable to believe that this scope will continue to expand since increasingly more severe environmental problems are emerging worldwide.

About two decades ago, “plasmonics”, was coined for a promising new technology that enables active manipulation of light by metallic nanomaterials [17]. Currently, plasmonics, an emerging interdisciplinary science and technology field, is being considered as a silver bullet for its potential applications in many sectors including environmental engineering [18]. The principles that drive plasmonics have been introduced most comprehensively in some recent review papers [18–20]. Since many classical review papers have summarized the achievement of plasmonic nanomaterials in terms of synthesis and applications, the current review discusses the progress of this field with a specific focus on what kind of environmental concerns plasmonic materials can help address.

Surface plasmon resonance (SPR) is related to the collective oscillations of conduction electrons in metals and it can be classified into two modes: propagating surface plasmons and localized surface plasmons [18]. Nanostructures that support surface plasmons experience a uniform electromagnetic field when excited by light as their dimensions are much smaller than the wavelength [21]. On the other hand, for nanostructures that possess at least one dimension close to the excitation wavelength, electromagnetic field is not uniform and surface plasmons propagate back and forth between the ends of the structures [22]. Thus, by tailoring the dimension, morphology and ambient environment of a metal, the latter can exhibit many fantastic optical properties [23,24], which offers new opportunities to the application of plasmonics in environmental sector [25]. The principle motivation for the current review is to explore the plasmonic applications in environmental remediation and their underlying mechanisms (Fig. 1). We start from the properties of plasmonic nanomaterials (Section 2), such as optical, photothermal, conductive, and catalytic properties. Then we introduce how these properties are employed to design highly efficient nanomaterials for removal of contaminants (Section 3), and inactivation of bacteria (Section 4). Furthermore, the relevant physics and chemistry, recent achievements, and significant challenges in this field are reviewed.

## 2. Plasmonic properties

### 2.1. Optical properties

One of the most attractive properties of plasmonic nanostructures is their strong light absorption efficiency, as reflected in their intense

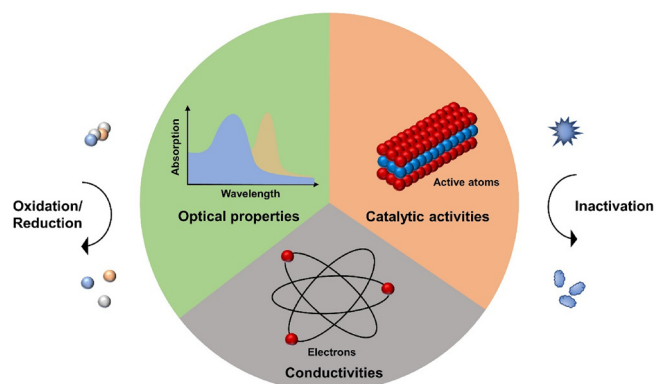


Fig. 1. Schematic illustration of the properties of plasmonic nanomaterials and their applications in the photocatalytic oxidation/reduction of pollutants and inactivation of bacteria.

color. When plasmonic nanoparticles are irradiated with light, the oscillating electromagnetic field induces a collective coherent oscillation of the free electrons (from conduction band) [18]. The specific wavelength where amplitude of oscillation reaches at maximum usually varies with metal types, shapes, and dimensions. Thanks to the marvelous achievements in the material science, morphologies of gold or silver nanoparticles can be controlled efficiently [26]. The varying morphologies, including spheres, rods, plates, and other geometries, as shown in Fig. 2, have helped the tuning of absorption wavelength across the visible range.

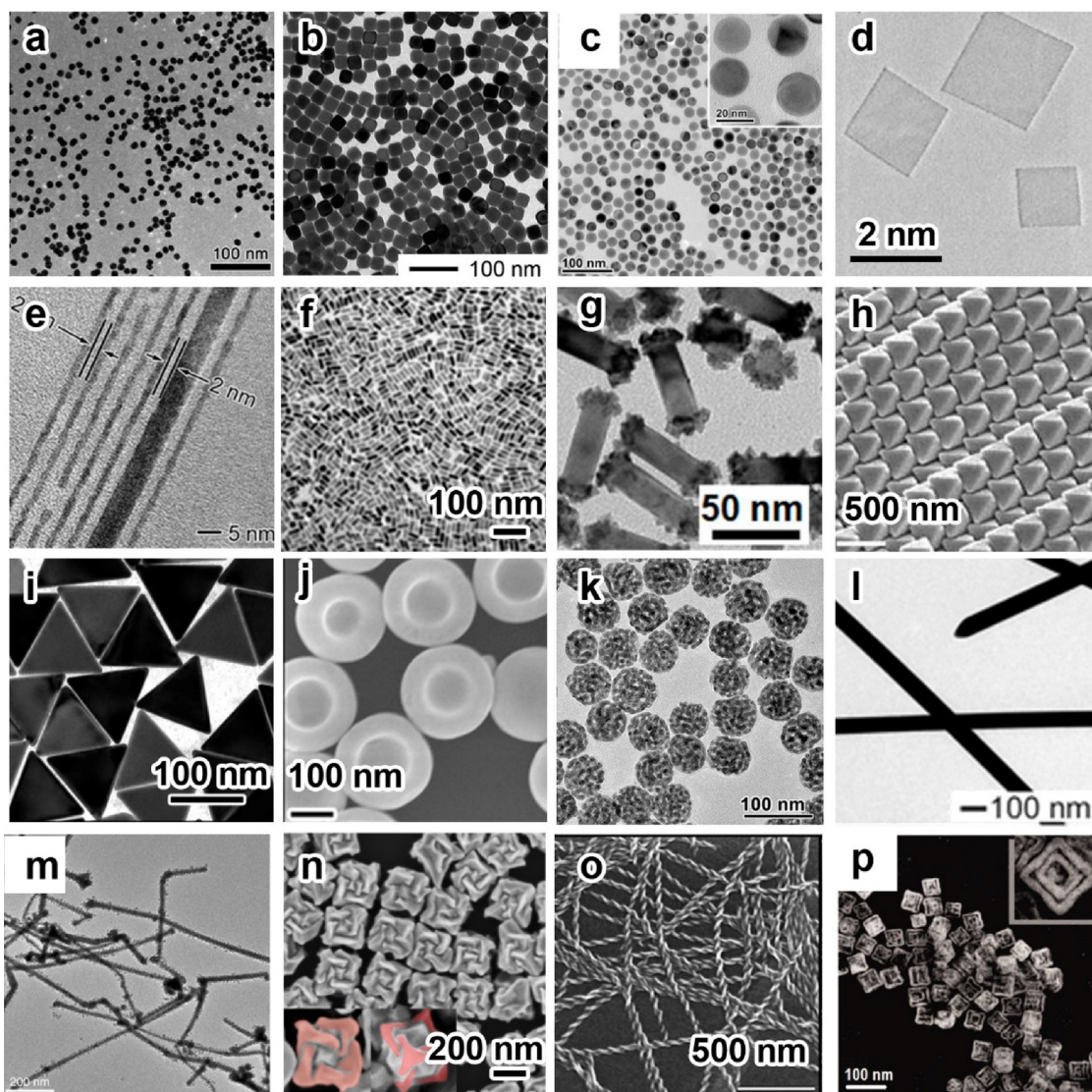
Generally, there are four methods to tune the optical properties of plasmonic nanostructures. Increase of size usually results in the red shift of absorption peak (Fig. 3a). For example, the increase in the diameters of gold nanoparticles could result in red-shift of SPR wavelength [43]. By tuning the aspect ratio of gold nanorods, a shift of absorption wavelength can be expected [44].

The second way is to fabricate composite structure, such as core/shell and Janus nanostructures, to manipulate the dielectric constants of the surrounding environment of plasmonic nanoparticles (Fig. 3b). For example, Wang et al. tuned the optical properties of Au@Cu<sub>2</sub>O core/shell nanoparticles systematically by varying the thickness of Cu<sub>2</sub>O shell [45]. They found that the plasmonic peaks red-shift continuously with the increase of Cu<sub>2</sub>O shell thickness. This red-shift comes with the increase of shell thickness, which is further ascribed to the change of the refractive index of the surrounding medium. Similar effect was also observed for Au or Ag NPs coated with SiO<sub>2</sub> nanoshell, SiO<sub>2</sub> shell led to the red-shift of several tens of nanometers due to the increase refractive index of SiO<sub>2</sub> (1.43) in comparison to that of H<sub>2</sub>O (1.33) [46]. In contrast, a blue-shift was observed by them when they progressively increased the voids between Au NPs and Cu<sub>2</sub>O shell, which can be attributed to the decrease of the refractive index of the medium surrounding the Au NPs. Similar change of optical properties is also observed for Janus nanostructures [47].

The third way is to tune the morphology of plasmonic nanoparticles (Fig. 3c). For example, the absorption peak of isolated Au nanospheres usually lies around 520 nm, varying with its size. However, for isolated Au nanorods, besides the peak near 520 nm, a coupling peak at longer wavelength is also observed. Other morphologies as shown in Fig. 2. all demonstrate essentially different optical properties compared to spheres.

The fourth way is to assemble isolated nanoparticles into secondary nanostructures (Fig. 3d). The differences between the optical properties of assembled nanostructures from that of their individual counterparts are caused by the different resonance modes. In assembled plasmonic nanostructures, individual plasmonic oscillations on nearby particles can couple via their near-field interaction and generate coupled plasmonic resonance modes [51]. Of particulate interest is the reversible assembly of such plasmonic nanostructures, which is expected to enable the dynamic tuning of the coupling peaks of SPR by external stimuli, and therefore benefit applications for detection [52]. In the case of the self-assembly of gold nanoparticles, one can observe a color change from red to blue, suggesting the formation of a linear chain structure. The formation of the chain structure is due to the balance between van der Waals attraction and electrostatic interaction among Au nanoparticles [27]. Meanwhile, gold nanorods are able to assemble in the forms of side-to-side and end-to-end, inducing variable optical changes [53].

In terms of plasmonic optical properties, silver offers more advantages over gold. Silver is able to support a strong surface plasmon across from 300 to 1200 nm, wider than gold is [18]. The well-controlled synthesis of silver nanostructures has achieved the full tuning of adsorption wavelength across visible-spectrum. By synthesizing silver with different morphologies including nanodiscs and nanoprisms, Yin et al. observed a shift of absorption wavelength from 450 nm to 871 nm [54]. However, the susceptibility of elemental Ag to oxidation often leads to the unexpected changes of their morphologies and thus of their



**Fig. 2.** TEM images of different gold/silver nanostructures. (a) Gold nanospheres, reprinted from Ref. [27], copyright 2011 American Chemical Society. (b) Silver nanocubes, reprinted from Ref. [28], copyright 2010 American Chemical Society. (c) Gold/silver alloy nanoparticles, reprinted from Ref. [29], copyright 2014 American Chemical Society. (d) Gold nanosheet, reprinted from Ref. [30], copyright 2011 Springer Nature. (e) Gold nanowires, reprinted from Ref. [31], copyright 2008 American Chemical Society. (f) Gold nanorods, reprinted from Ref. [32], copyright 2004 American Chemical Society. (g) Pt on the tips of Au nanorods, reprinted from ref. [33], copyright 2014 American Chemical Society. (h) Silver octahedrals, reprinted from Ref. [34], copyright 2012 Springer Nature. (i) Gold nanoprisms, reprinted from Ref. [35], copyright 2014 American Chemical Society. (j) Gold nanocups, reprinted from Ref. [36], copyright 2017 Wiley-VCH. (k) Porous gold/silver alloy shell, reprinted from Ref. [37], copyright 2016 American Chemical Society. (l) Silver nanowires, reprinted from Ref. [38], copyright 2004 American Chemical Society. (m) Epitaxial gold nanowires, reprinted from Ref. [39], (n) chiral gold nanoparticles, reprinted from Ref. [40], copyright 201 Springer Nature. (o) Double-helical gold nanowires, reprinted from Ref. [41], copyright 2018 American Chemical Society. (p) Double-walled gold/silver nanoboxes, reprinted from Ref. [42], copyright 2018 The American Association for the Advancement of Science.

optical properties, hindering the potential applications. In materials science, this drawback could be overcome by depositing a layer of gold due to its resistance to oxidation. By carefully controlling of the deposition process to avoid the galvanic reaction between Ag and  $\text{HAuCl}_4$ , Qin et al. achieved depositing an ultrathin layer of Au on the Ag nanocubes [55]. After depositing the thin layer of Au, the extinction of the Ag nanocubes only changed slightly, meanwhile, the Au layer could prohibit Ag nanocubes from the oxidation of  $\text{H}_2\text{O}_2$ . Besides direct deposition, one can also improve the stability of Ag nanostructures while maintaining their optical properties by alloy process. Yin et al. synthesized fully alloyed Ag/Au nanospheres through a surface protected annealing process. They firstly deposited a layer of silver on gold nanospheres, then they coated the core/shell nanoparticles with  $\text{SiO}_2$  to protect them from sintering during annealing process. Through this process, they obtained alloyed nanospheres with significantly narrow

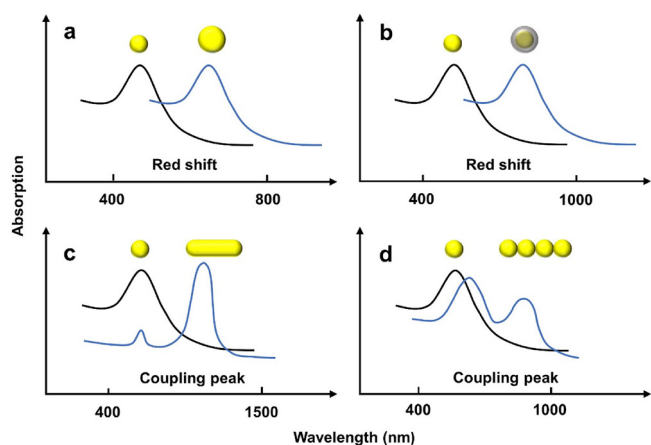
bandwidths, and notable stability in harsh environments [29].

In short, because of the above mentioned optical properties, plasmonic nanostructures have received significant attention for the design of visible-light driven photocatalysts. The controllable resonant wavelength region of plasmonic nanostructures has been expected to benefit the improvement of incident light absorption, which can help the development of environment-functional nanomaterials.

## 2.2. Photothermal properties

Photothermal properties are associated with optical properties. The response to near-infrared (NIR) light of plasmonic nanostructures has been proven to be promising to generate thermal power. One of the earliest demonstrations of the photothermal properties of plasmonic nanostructures is the morphological transformation of gold nanorods





**Fig. 3.** Different methods for tuning the optical properties of plasmonic nanostructures: (a) size control: the increase of size usually results into the red shift of plasmonic peak. For example, the peak of Au nanoparticles can be tuned from  $\sim 500$  to  $700$  nm by increasing the size from  $17$  nm to  $150$  nm [48]. The size control of Au nanorods can even extend the peak to infrared region. (b) Introduction of composite structure, including core/shell and Janus structures: this method is highly independent on the refractive index of the counterpart. (c) Morphology change: the longitudinal peak (at longer wavelength) of gold nanorods can be easily tuned to  $1400$  nm [49]. (d) Assembly into secondary nanostructure: the assembly of gold nanoparticles can result to a coupling peak at  $\sim 700$  nm [27], while the end-to-end assembly of gold nanorods can make the coupling peak go to as far as  $1000$  nm [50].

under pulsed laser irradiation. In this study, El-Sayed et al. found that gold nanorods could turn into nanospheres with femtosecond laser irradiation. They also observed a melting process, which suggests the high temperature of the particles when they are under the laser irradiation [56]. This phenomenon, which has been recognized as photothermal effect, is due to SPR. It is known that SPR excitations dramatically increase the yield of conduction electrons that are raised from a ground state to an excited state [20]. These electrons interact with the electrons of the metal lattice elevating the lattice temperature. When this interaction comes down to nanoscale, the light-to-heat conversion can be highly efficient [57]. Recently, this mechanism has been applied to the synthesis of highly uniform Au nanorods [58].

Since the plasmonic excitation is relevant to the morphology and size of the nanostructures, the photothermal properties can also be tuned by controlling these two parameters. Theoretical calculations have verified the morphology dependent photothermal properties of gold nanostructures [59]. Simultaneously, it has also been demonstrated that the increase of temperature of gold nanoparticles is proportional to their sizes [60]. However, for isolated plasmonic nanoparticles, the photothermal effect is not that significant compared to their corresponding assembled structures. For example, Qiu et al. calculated the temperature field distribution around a gold nanoparticle with  $50$  nm radius in water. Under the irradiation of  $530$  nm light (intensity =  $1$  mW/ $\mu\text{m}^2$ ), the temperature of the gold nanoparticle increases to  $52$  °C. On the contrary, when a few gold nanoparticles are assembled into an array, the temperature can increase up to  $800$  °C [61].

One of the most exciting benefits of the photothermal effect is the possibility to boost overall reaction kinetics. Since most chemical reactions can be accelerated by increasing temperature according to Arrhenius equation ( $k = Ae^{-E_a/(RT)}$ , where  $k$  is the rate constant,  $T$  is the absolute temperature,  $A$  is the pre-exponential factor,  $E_a$  is the activation energy, and  $R$  is the universal gas constant) [57]. Moreover, it has been proposed that the local heating resulting from photothermal effect gives a better catalytic effect than the bulk heating [62]. In the environmental sector, the photothermal effect has been utilized to improve the degradation rate of contaminants [63] and the inactivation of

bacteria and viruses [64]. Recently, photothermal effect of plasmonic nanoparticles has been used to vaporize water into steam [65], which is a clean water source.

### 2.3. Other properties

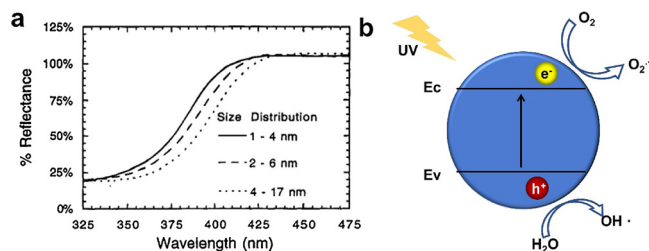
In addition, plasmonic metals are also excellent electrical conductors. For example, the electrical conductivity of bulk gold is  $4.10 \times 10^7$  S/m, while for bulk silver its conductivity is as high as  $6.30 \times 10^8$  S/m, which is even higher than that of bulk copper ( $5.96 \times 10^7$  S/m) [66]. This excellent electrical conductivity of plasmonic metals does not decrease much when their sizes are in the nanoscale regime, making them promising for various electrical-related applications. The satisfactory conductivity of plasmonic nanostructures has been taken into advantage for the design of efficient photocatalysts by facilitating the charge separation [67].

Moreover, some plasmonic metals are also of satisfactory catalytic properties, and are being used in various industrial applications. One of the demonstrations is the catalytic converter. Due to the incomplete combustion of fuel, the exhaust usually includes a toxic mixture of CO, NO<sub>x</sub>, SO<sub>x</sub>, and hydrocarbons (C<sub>x</sub>H<sub>x</sub>). The plasmonic metal, platinum, has been used to convert these flue gases into non-toxic gases such as CO<sub>2</sub>, N<sub>2</sub>, and H<sub>2</sub>O [68]. However, the reactions with conventional catalysts are usually operated at elevated temperatures or pressures. Recently, the fantastic optical properties of plasmonic materials have allowed them to use light energy to drive catalytic reactions, which is a promising approach to address the issues of energy efficiency and stability of catalysts [69].

## 3. Visible-light driven photocatalysts

The remarkable achievements associated with the plasmonic materials have enabled wide applications, such as the design and synthesis of efficient photocatalysts. Photocatalysts with plasmonic subunits have been used in water splitting [70], photovoltaics [71], and environmental remediation [72], etc. In this section, we mainly discuss the development of the design of photocatalysts for environmental remediation. We start from the introduction on the design principles, and then summarize the achievement of plasmonic photocatalysts in remediation of water and air issues.

To tackle various issues of environmental pollution, semiconductors are among the most promising photocatalysts [73]. However, most semiconductors (e.g. TiO<sub>2</sub> and ZnO) can only be activated under UV light regardless of the magnificent achievement on their synthesis (Fig. 4a). When photon energy of greater than or equal to the bandgap energy of semiconductor is illuminated onto its surface, the  $h^+/e^-$  pairs are photoexcited in femtoseconds [74]. These holes and electrons will produce a series of reactive oxygen species (ROS) to decompose the organic pollutants (Fig. 4b) [75]. Numerous attempts have been devoted in the past three decades to improve the performance of semiconductor photocatalysts, particularly for the higher absorption of



**Fig. 4.** (a) UV/vis reflectance spectra of size-quantized TiO<sub>2</sub>. Reprinted with permission from Ref [73]. Copyright 1995 American Chemical Society. (b) Photo-induced formation electron-hole pairs in a semiconductor particle.

visible light by doping other materials. Among those attempts, decorating plasmonic metals on semiconductors has attracted significant attention. It can be expected that through proper control, the full-spectrum photocatalysis can be achieved since the SPR wavelength of Ag and Au is highly tunable [76].

The tunable properties of plasmonic nanostructures have guided the design of efficient plasmonic photocatalysts. Plasmonic photocatalysts with different composites have been proposed and proven to be promising. In terms of plasmonic photocatalysts, there are typically three types of design principles: metal/semiconductor, metal/insulator/semiconductor, and metal/semiconductor/semiconductor. Different compositions may correspond to different activation mechanisms. It should be noted that some of these mechanisms were firstly proposed in other fields, rather than environmental engineering. In consequence, our discussion in this section may also expand to water splitting and solar cells, where plasmonic nanomaterials are also widely used.

### 3.1. Effect of composition and geometry arrangement

#### 3.1.1. Metal/semiconductor direct contact

In 1997, Sayama and Arakawa introduced Pt as a co-catalyst on TiO<sub>2</sub> and observed a significant enhancement of photocatalytic efficiency [77]. Since then, numerous studies have investigated the effect of plasmonic metals including Au [78–80] and Ag [81–85] on photocatalysis enabled by semiconductor under light irradiation. In those early days, methanol was used as sacrificial agents to trap the photo-generated holes to further allow the electrons to initiate some reduction reactions, while the role of noble metal was only considered to accelerate the hole-electron separation (Fig. 5a) [78]. Kamat et al. found that photoexcitation of TiO<sub>2</sub> under UV illumination resulted in accumulation of the electrons in Ag as evidenced from the shift in the surface plasmonic resonance band [86,87]. This mechanism was further verified using nanosecond laser flash photolysis [86]. By exciting the Au@TiO<sub>2</sub> using 308 nm laser pulse, they observed the absorption band at 420 nm and photobleaching at 540 nm as the result of the electron storage on Au [86]. However, this theory cannot explain the excellent performances of metal/semiconductor under visible light irradiation since most conventional semiconductors can only be excited under UV irradiation. Soon after that, Tian and Tsuma found that the curves for conversion efficiency from incident photon to electron in solar cells with Au-TiO<sub>2</sub> as photoanode matched the absorbance spectrum of the incorporated Au nanoparticles [88]. However, it was an exactly different phenomenon from previous studies which considered metal NPs as a sink for charge carriers [89]. The authors carried out spectroscopic measurements and found that the absorbance changes at 680 nm for Au-TiO<sub>2</sub> increased gradually under the visible light irradiation [88]. This phenomenon was similar with that for TiO<sub>2</sub> when it is applied with a more negative potential [90]. So they proposed that the charge separation was achieved by electron transfer from the excited Au NPs to TiO<sub>2</sub> [88]. This mechanism was also verified by measuring the incident photon to current conversion efficiency (IPCE) of an Au/TiO<sub>2</sub> Schottky diodes, in which a continuous flow of electrons from gold to TiO<sub>2</sub> was observed [91]. These works are valuable for understanding the SPR effect on the metal/semiconductor system, but they still did not present

the direct evidence of SPR induced electron transport from plasmonic nanoparticles to TiO<sub>2</sub>. In contrast, Furube et al. used femtosecond IR probing to observe electrons injected from the plasmon band of Au nanodots that were attached to TiO<sub>2</sub> NPs [92]. They found that the electron transport time was within 240 fs, and the yield was about 40%. This ultrafast plasmonic induced electron transfer was also observed in Ag/AgCl system, in which AgCl acted as a semiconductor [92]. Recently, DuChene et al. discovered that these plasmonic induced electrons possessed excited-state lifetimes much longer than those of electrons which are photogenerated directly on TiO<sub>2</sub> under UV irradiation [93]; they attributed this phenomenon to the significant Schottky barrier [91,94,95] established at the Au/TiO<sub>2</sub> interface (Fig. 5b). They explained that although this Schottky barrier mitigates the transportation of hot electrons (e.g. the high-energy electrons produced by photoirradiation [96], “hot” here refers to “energetic” [97]) into the TiO<sub>2</sub> conduction band, once the barrier was breached, the depletion layer within the semiconductor facilitated charge separation by discarding these electrons from the interface between Au and TiO<sub>2</sub> [93]. However, Priebe et al. suggested this mechanism was resulted from the collaboration of different electron excitation pathways with Au particle, namely *d-sp* inter-band transitions in the lower and SPR transitions in the longer wavelength [98]. Similar phenomenon was also observed by Gomes Silva et al., but they attributed that to the nanometric size of the noble metal particle and the operation of quantum size ambiguously [99]. In fact, metal size indeed plays a significant role in determining the bending the semiconductor bands according to a recent study [100]. Recently it has also been proven that at most 95% of the effective charge carriers came from the decay from surface plasmon to hot electrons [101].

During this period, some studies attempting to accelerate this SPR induced electron transport from a noble metal to a semiconductor were also carried out. Kang et al. incorporated photonic crystal to tune light-matter interactions and observed that more hot electrons were produced within Au NPs and injected into the conduction band of TiO<sub>2</sub> [102]. The composites of plasmonic metals and some other semiconductors such as Cu<sub>2</sub>O [103], Fe<sub>2</sub>O<sub>3</sub> [104] and CeO<sub>2</sub> [105] etc. have also been reported for record performance. However, despite these achievements, the charge recombination, which was highly dependent on the particle size of TiO<sub>2</sub>, was also observed: larger TiO<sub>2</sub> NPs were accompanied by longer charge recombination period due to the longer diffusion length of electrons in those TiO<sub>2</sub> NPs. Recently, Lin et al. found that the photocatalytic activity also depends on the facet of the semiconductor in the neighborhood of plasmonic metals [106].

An interesting question that could be raised is that how the electrons transfer when the metal/semiconductor system is irradiated by UV and visible light simultaneously. By measuring the photocurrent of Ag/TiO<sub>2</sub> film under solar light irradiation, Takai and Kamat found that direct excitation of Ag nanoparticles played negligible effect under such condition [87]. In contrast, because the zero-current potential of Ag/TiO<sub>2</sub> shifted to more negative potential compared to pristine TiO<sub>2</sub>, the benefit of plasmonic nanoparticles in this case was mainly contributed to Fermi level equilibration due to the electron storage in Ag nanoparticles. However, this theory is not universal because Fermi level equilibration can be greatly affect by the arrangement, type, and size of plasmonic metals and semiconductors [107–110].

#### 3.1.2. Metal/insulator semiconductor contact

Despite the significant achievements in photocatalysis by introducing plasmonic nanostructures, some problems are not addressed: many energetic charge carriers recombine rather than participating in photocatalytic reactions [70]. Although the intense electric fields formed around the plasmonic metal nanostructures could increase the formation rate of  $e^-/h^+$  pairs at the nearby semiconductor [111], the recombination and back electron transfer cannot be suppressed efficiently. Moreover, “bare” metal nanostructures especially Ag may corrode [112]. So an ideal core-shell configuration is highly desired

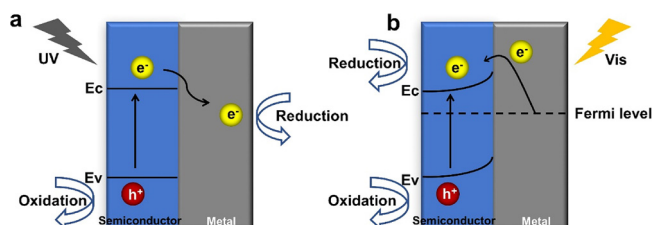


Fig. 5. Two different mechanisms of photocatalysis over noble metal/semiconductor particles (a) under UV and (b) visible light irradiation.

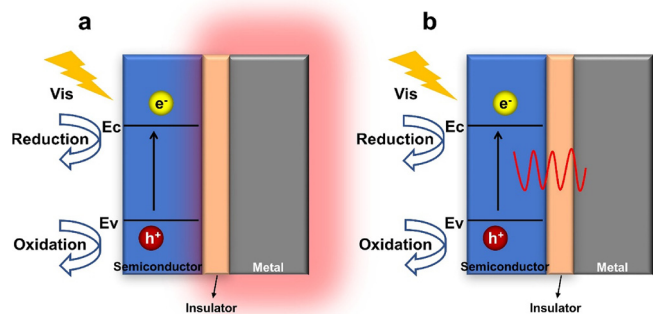


Fig. 6. (a) enhancement of local electrochemical field and (b) resonant energy transfer introduce the generation of charge carriers in the semiconductors nearby plasmonic nanoparticles.

[113]. Standridge et al. proposed an insulator shell to overcome the corrosion of bare metal [112]. Similar strategies were also proposed by Domen group in 2006 [114–117]. Awazu et al. coated  $\text{SiO}_2$  shell on Ag NPs and embedded them in  $\text{TiO}_2$  layers to prevent the oxidation of Ag [118]. Nevertheless, it was unclear of the charge transfer between plasmonic nanoparticles and the semiconductors in the presence of insulator. One of the mechanisms is that the enhancement of local electromagnetic field surface due to plasmonic resonance contributed to the local generation of electron-hole pairs in the semiconductor (Fig. 6a) [70,112,119]. However, because the local electromagnetic field can only create carriers for energies higher than the band gap of the semiconductor, only limited materials are appropriate. Another mechanism is resonant energy transfer (RET, Fig. 6b). RET produces  $e^-/h^+$  pairs in the semiconductor by dipole-dipole interactions between plasmonic nanoparticles and semiconductors. Wu et al. investigated this mechanism by testing the photocatalytic performance of  $\text{Au@SiO}_2\text{@Cu}_2\text{O}$  sandwich nanoparticles and using transient-absorption spectroscopy, in which 100-fs pulses excite the plasmon and detect the carriers created in the conduction band of  $\text{Cu}_2\text{O}$  [120]. This mechanism initiated some attempts of aligning plasmonic metals with some emerging semiconductors which were unconventionally used to take advantage of the plasmonic effect [121–124].

### 3.1.3. Metal/semiconductor/semiconductor (heterojunction) contact

Another efficient strategy to improve the separation between electrons and holes is to introduce heterojunction. Generally, heterojunction is defined as the interface between two different semiconductors with unequal band structure [125]. The re-alignments of band gaps at the interface can facilitate the charge separation. Specifically, when two semiconductors, A and B, are in contact, if the conduction band and the valance band levels of A are higher than that of B, the photogenerated electrons in A are able to transfer to B, while the photogenerated holes travel in an opposed direction, enabling an efficient charge separation. So far, various heterojunction schemes have been proposed, such as type-II, p-n, surface, and direct Z-scheme [126,127]. With the help of plasmonics, the photocatalysis performance of the heterojunction system can be further improved by either introduction of visible light driven activity, or more efficient generation of electrons due to plasmonic effect [128–130]. For example, Li et al. presented a sandwiched  $\text{CdS-Au-TiO}_2$  nanorod array as the photoanode for water splitting and they proposed a dual working mechanism which extends the photo-conversion wavelength from 525 to 725 nm: (1) Au NPs acted as an electron sink, which facilitated the charge transfer between CdS and  $\text{TiO}_2$  under the irradiation of short wavelength light; (2) Au NPs initiated RET process when they were irradiated by long wavelength light [131].

Besides above-mentioned three strategies, some other plasmonic composites have also raised attention, including metal/metal/semiconductor [33] and plasmonic nanoarrays/semiconductor [132,133]. However, as we noted, some of the pieces of evidence on the charge

transfer involved in the plasmonic photocatalysis are indirect, suggesting that further mechanistic investigation is urgently needed [134].

## 3.2. Effect of morphology

It has been noted that for the design of plasmonic photocatalysts, not only the composition and geometry arrangement, but also the morphology of the components is highly important. As we have discussed in Section 2, the morphology of plasmonic nanomaterials can affect their optical properties, and thus the photocatalytic activity when light is used to activate the photocatalysts. For example, Ye et al. achieved broadband visible and even near-infrared light harvesting over gold nanorods/ $\text{TiO}_2$  by tuning the aspect ratio of gold nanorods [76]. Recently, Ma et al. assembled  $\text{Au@Ag}$  nanoparticles into nanochain structure to improve the light absorption efficiency and thus the photocatalytic activity [135]. A recent study also investigated the morphological effect using gold nanospheres, nanorods, and nanostars on the photocatalytic performance of  $\text{SiO}_2/\text{Au}/\text{TiO}_2$  system, and found that the nanostars demonstrated the highest activity due to the field enhancement at the spikes [136]. Meanwhile, the morphology control over the plasmonic nanomaterials can also significantly change the number of active sites for catalysis [137,138] and the efficiency of charge separation process [139].

It should be noted that the composition of semiconductors in the plasmonic photocatalysts can also alter the charge transfer since different semiconductors have different bandgaps. However, even for the same semiconductor, the morphology control can significantly improve the performance of plasmonic photocatalysts. For example, Ozin and co-workers reported inverse opals composed of anatase nanocrystals of  $\text{TiO}_2$  can serve as efficient photocatalysts when the stopband energy is optimized with respect to the anatase absorption [140]. When the photonic bandgap of the inverse opals is tuned to overlap the absorption region of plasmonic nanoparticles, a more significant improvement on photocatalytic activity can be achieved [141–143]. Similar strategy was adopted by Wang et al. to use chiral  $\text{TiO}_2$  nanowires to increase the light absorption of silver nanoparticles [144].

## 4. Removal of contaminants

### 4.1. Removal of contaminants from water matrix

With plasmonic photocatalysts, dyes, persistent organic pollutants [145], and endocrine disrupting chemicals [144] can be removed effectively under visible light irradiation [72,146]. Excellent photocatalysts should decompose pollutants rapidly but also remain stable themselves. However, plasmonic metals usually suffer from their poor stability during catalytic reactions, which has limited their practical applications [147]. Core-shell (metal-semiconductor) structures may overcome this disadvantage. Yin et al. synthesized an effective and stable catalyst that assembled a  $\text{SiO}_2$  core, a layer of gold NPs, and a doped nanocrystalline  $\text{TiO}_2$  shell [148]. A higher photocatalytic activity was observed than the catalyst without gold NPs, which implies the charge separation ability of Au. However, this advantage may be eliminated if excessive amounts of Au are mixed as this may increase the re-combination rate [70,149]. Not only the amount of plasmonic nanoparticles, but also their size affects the photocatalytic performance [150]. Wu et al. demonstrated that  $\text{Ag@Cu}_2\text{O}$  core-shell heterostructure is an efficient visible-light photocatalyst, due to its light absorption over the entire visible light region, which can be achieved by adjusting the shell thickness [151]. They attributed this enhancement to both direct electron transfer and resonant energy transfer. Recently, Au and Ag alloy also exhibited this potential as Au owns a relatively strong stability and Ag has more tunable SPR wavelength [152].

Moreover, some emerging photocatalysts have also exhibited high stability. Among them, Ag deposited on AgCl (referred as Ag/AgCl below) has been proven to be highly stable and active under the



irradiation of visible light [153]. The mechanism of this system is that under the irradiation of visible-light, electron-hole pairs are generated in Ag. Because of the strong electronic coupling between Ag and conduction bands of AgCl, photo-generated electrons in Ag injects into AgCl rapidly and efficiently, producing ROS [92]. Meanwhile, since AgCl is terminated by  $\text{Cl}^-$  ions and thus negatively charged, the holes transferred to the surface of AgCl, oxidizing  $\text{Cl}^-$  to  $\text{Cl}^0$  atoms [153]. Both  $\cdot\text{OH}$  and  $\text{Cl}^0$  oxidize pollutants and reduce  $\text{Cl}^0$  back to  $\text{Cl}^-$ , rapidly reproducing Ag, and thus stabilizing the Ag/AgCl system [144]. Similarly, Ag/AgI and Ag/AgBr have also been found to be stable and active under irradiation of visible light [154,155].

#### 4.1.1. Organic contaminants

Dyes are widely used in many industries such as textile and papermaking. However, the presence of vat dyes, acetic acid, sulphur, naphthol, soaps, nitrates, enzymes, chromium compounds and heavy metals such as arsenic, cadmium, copper, lead, nickel, mercury, and cobalt and certain auxiliary chemicals make the dye effluent highly toxic [156]. As a result, the dye degradation experiment has been selected as the widely accepted demonstration for the photocatalytic activity. Meanwhile, the color changes also make it easy to monitor the dye degradation process. Below is a short list of studies on the plasmonic photocatalysts for dye degradation (Table 1). Various dyes, including Acid orange 7, Methyl orange, Methylene blue, and Rhodamine B, are used as target contaminants for the demonstration of the photocatalytic performance. However, a critical point to note is that the complex photochemistry of dyes could make the mechanistic discussion on the photocatalysis misleading. This is due to the fact that some dyes can induce photosensitization in the catalysts involved [157]. Valid conclusion can only be drawn when a control experiment is performed under identical conditions [134].

In terms of plasmonic photocatalysts, different plasmonic metals, including silver and gold, have been studied. The types of co-catalysts, which are usually semiconductors, have been expanded. Not only  $\text{TiO}_2$ , which is the most widely used semiconductor, silver halides,  $\text{C}_3\text{N}_4$ , copper oxides with various structures including nanotubes, nanowires, and hollow spheres, have been investigated (Fig. 7).

Moreover, the development of material science has also opened more choices for the design of plasmonic photocatalysts. For example, by introducing iron oxides, magnetic properties can be introduced and make the photocatalysts recyclable [163]. As an excellent electricity conductor and adsorbent, carbon nanotubes [164], graphene and its related composites (graphene oxide and reduced graphene oxide) [165,166] have also been incorporated. The improvement of photocatalytic performance accompanied by graphene is ascribed to its superior electron mobility and high specific surface area, which make it a perfect station for photogenerated electrons [167]. Meanwhile, metal organic frameworks (MOFs) as emerging materials with superior surface area, have also been incorporated in the design of efficient

**Table 1**  
Short list of studies on dye degradation with plasmonic photocatalysts.

Dye	Photocatalyst	References
Acid orange 7	AgCl@Ag@TiO <sub>2</sub>	[170]
Basic fuchsin	Ag/AgVO <sub>3</sub> @g-C <sub>3</sub> N <sub>4</sub> nanosheet	[171]
Methylene blue	Ag@C <sub>3</sub> N <sub>4</sub>	[172]
Methylene blue	Ag <sub>3</sub> PO <sub>4</sub> /AgBr/Ag-HKUST-1	[168]
Methylene orange	Ag@AgCl cubic cage	[92]
Methylene orange	Ag@AgCl@TiO <sub>2</sub> nanotubes	[173]
Methylene orange	Au/TiO <sub>2</sub>	[80]
Methylene orange	Ag/AgX/GO (X = Br, Cl)	[174]
Methylene orange	Ag@Cu <sub>2</sub> O	[175]
Rhodamine B	Au/Reduced Graphene Oxide/TiO <sub>2</sub>	[167]
Rhodamine B	Au/N-TiO <sub>2</sub>	[148]
Rhodamine B	Ag-TiO <sub>2</sub> hollow spheres	[176]
Sulfurhodamine B	Au/Zelite-Y	[177]

plasmonic photocatalysts [168]. The large surface area can help capture more organic molecules to enhance the subsequent oxidation rate [169]. It is expected that with the continuous progress in material science, more choices will be available toward the development of efficient tailor-designed visible light-active photocatalysts.

A detailed map of the dye degradation mechanism is also critically important. For the photocatalytic oxidation of organic compounds, the typical mechanism is that the photogenerated ROS oxidize organics into CO<sub>2</sub> and H<sub>2</sub>O [73]. The type of ROS also affects the product selectivity. The identification of ROS is thus important to map out the reaction mechanism, which can be achieved by dosing different quenching agents and comparing their corresponding impacts. For example, methanol, NaHCO<sub>3</sub>, and *p*-benzoquinone can be used to quench  $\cdot\text{OH}$ ,  $h^+$ , and  $\cdot\text{O}_2^-$ , respectively [178]. On the other hand, other techniques such as electron paramagnetic resonance [179] and fluorescence detection [180] can be adopted as well to probe the radical species.

Besides dyes, some contaminants of emerging concern (CEC) have also been given considerable attention. Among them, endocrine disrupting chemicals (EDCs) and pharmaceuticals and personal care products (PPCPs) are of high concerns [181]. These CEC are widely detected in the effluents of wastewater treatment plants and even in natural waters [3,9]. More importantly, some of these CEC may not be effectively eliminated in conventional wastewater treatment processes [182]. To address these issues of CEC, plasmonic photocatalysts have also been applied (Table 2).

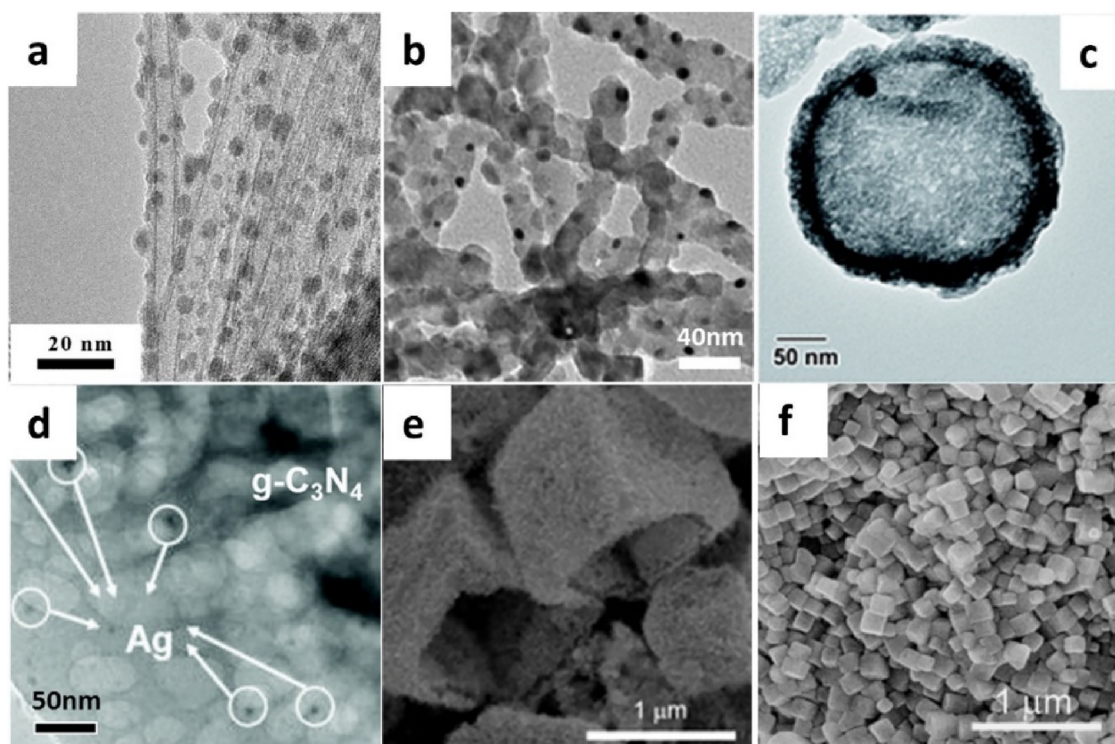
However, some studies have found that the photocatalytic oxidation reactions can only decompose organic compounds with large molecular weight (MW) into organic compounds with small MW, and only parts of the latter could be eventually mineralized into CO<sub>2</sub> and H<sub>2</sub>O [184]. Some of these intermediates still have toxicity [192,193]. As a result, the evaluation of photocatalytic degradation of the CEC usually involves several other analyses besides the determination of contaminant concentration. For example, total organic carbon has been used to assess the mineralization rate, and estrogenic activity has been employed to evaluate the remaining toxicity of the photocatalytic effluent of EDCs contained water [194].

#### 4.1.2. Inorganic contaminants

The photogenerated holes with oxidizing capacities have been used to decompose organic contaminants, while the photogenerated electrons have shown promising potential in some reductive reactions. Two representative examples would be heavy metals and nitrate [195–199]. The release of heavy metals such as copper, cadmium, and lead from industrial processes is frequent, thus threatening the ecosystem [195]. Nitrate can cause serious human health problems and eutrophication in natural waters [198].

Review of the redox potentials may help us understand how the plasmonic photocatalysis achieves the removal of heavy metals. Table 3 shows the redox potentials of several ubiquitous metal ions. To initiate the reduction of heavy metals, the metal ions should own a less negative reduction potential than the conduction band of the photocatalysts (e.g. conduction band of TiO<sub>2</sub> is  $-0.2\text{ V}$ , which may vary slightly with pH) [201]. Consequently, in theory,  $\text{Cu}^{2+}$ ,  $\text{Ag}^+$  and  $\text{Cr}_2\text{O}_7^{2-}$  can be readily reduced by photocatalysis method, while the other three ions cannot be reduced. In fact, most studies on the photocatalytic remediation of heavy metal contamination, including those related to plasmonic photocatalysis, choose Cr(VI) as target heavy metal ion. For example, Su et al. fabricated magnetic triple-shelled nanoparticles (Ag@Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@TiO<sub>2</sub>) and used them for photocatalytic reduction of  $\text{Cr}_2\text{O}_7^{2-}$  [202]. They observed an improved reduction efficiency of their triple-shell nanoparticles compared to P25, TiO<sub>2</sub> nanospheres, and Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@TiO<sub>2</sub>, which was attributed to the increased light absorption from Ag.

However, the photocatalytic reduction of Cr(VI) to Cr(III) is generally inefficient since the photogenerated holes cannot be timely consumed [203]. Wang et al. conducted a systematic study on the effect



**Fig. 7.** Various plasmonic metals/semiconductor composites: (a) Ag@TiO<sub>2</sub> nanotubes. Reprinted with permission from [158]. Copyright 2015 American Chemical Society. (b) Ag/AgCl@TiO<sub>2</sub> nanofibers. Reprinted with permission from [144]. Copyright 2013 Royal Society of Chemistry. (c) Au@TiO<sub>2</sub> yolk/shell nanostructure. Reprinted with permission from [159]. Copyright 2013 Royal Society of Chemistry. (d) Ag/g-C<sub>3</sub>N<sub>4</sub> composite. Reprinted with permission from [160]. Copyright 2016 Royal Society of Chemistry. (e) Au-CuO nanocages. Reprinted with permission from [161]. Copyright 2011 Royal Society of Chemistry. (f) Ag/AgCl nanocubes. Reprinted with permission from [162]. Copyright 2012 Wiley-VCH.

**Table 2**

Short list of studies on the removal of ECs with plasmonic photocatalysts.

ECs	Photocatalyst	Reference
17 $\alpha$ -ethinylestradiol	Ag/AgCl@Chiral TiO <sub>2</sub> nanofibers	[144]
17 $\alpha$ -ethinylestradiol	Ag/ZnO	[183]
17 $\beta$ -estradiol	Pt/P-TiO <sub>2</sub>	[184]
17 $\beta$ -estradiol	Pt/Pd on cells	[185]
2,4-dichlorophenol	Ag/TiO <sub>2</sub>	[186]
2,4-dinitrophenol	Ag/CuO/TiO <sub>2</sub>	[187]
Bisphenol A	Ag/Reduced graphene oxide	[188]
Bisphenol A	Ag/AgBr@Fe <sub>2</sub> O <sub>3</sub>	[189]
Bisphenol A (BPA)	Au/Bi <sub>4</sub> Ti <sub>3</sub> O <sub>12</sub>	[190]
chlorophenol	Ag-AgI/Al <sub>2</sub> O <sub>3</sub>	[155]
Perchlorate	Pt/ruthenium-sensitized TiO <sub>2</sub>	[79]
Phenol	Pt/TiO <sub>2</sub>	[191]

**Table 3**

Redox potentials of metal ions (calculated based on Nernst Equation at pH = 3.0). Reprinted with permission from ref [200].

Electrode reaction	E°/V
Cd <sup>2+</sup> + 2e <sup>-</sup> → Cd	-0.40
Ni <sup>2+</sup> + 2e <sup>-</sup> → Ni	-0.24
Pb <sup>2+</sup> + 2e <sup>-</sup> → Pb	-0.13
Cu <sup>2+</sup> + 2e <sup>-</sup> → Cu	0.34
Ag <sup>+</sup> + e <sup>-</sup> → Ag	0.80
Cr <sub>2</sub> O <sub>7</sub> <sup>2-</sup> + 14H <sup>+</sup> + 6e <sup>-</sup> → 2Cr <sup>3+</sup> + 7H <sub>2</sub> O	0.88

of small molecular weight organic acids on the photocatalytic reduction of Cr(VI), and found that these organics which act as sacrificial agents can significantly improve the performance of the photocatalysis [204]. Commonly, researchers usually dose methanol or NaHCO<sub>3</sub> to consume

holes [195]. In addition, formic acid is also found to be significantly efficient as a dosing agent for the photocatalytic reduction of Cr(VI). For example, Wang et al. synthesized core/shell AuPd@Pd nanocrystals for the reduction of Cr(VI) in the presence of formic acid. They proposed that formic acid can be decomposed into H<sub>2</sub> by Pd, and H<sub>2</sub> can reduce Cr(VI) into Cr(III) [205].

Nevertheless, the cost of dosing sacrificial agents in real applications is high. On the contrary, several studies demonstrated that organic contaminants can also act as sacrificial agents to consume the photo-generated holes. For example, Zeng et al. used Au nanoparticles decorated TiO<sub>2</sub> nanotubes to reduce Cr(VI). Instead of formic acid or methanol, they used acid orange 7 (a type of dye) to react with the generated holes, resulting in the simultaneous removal of heavy metals and organic contaminants [206]. The Au nanoparticles in their study served as the sink of electrons, which facilitated the charge separation. Choi et al. also developed a similar system with Ag/TiO<sub>2</sub> to achieve the removal of 4-chlorophenol and Cr(VI) [207]. Notably, they intentionally separated the photocatalytic reduction and oxidation. Under light irradiation, the holes generated by Ag/TiO<sub>2</sub> firstly oxidize 4-chlorophenol, while the electrons are stored in the Ag nanoparticles. In the subsequent dark experiment, Cr(VI) is reduced by these stored electrons [207]. This phenomenon is remarkable since usually the life time of photogenerated electrons is short (less than milliseconds) [86,208]. This study may inspire the development of continuous removal of pollutants using both day and night (dark) cycles [207]. The reduction capacity of TiO<sub>2</sub> has also inspired some strategies for the synthesis of plasmonic nanostructures. The photocatalytic reduction of Ag<sup>+</sup> and Au<sup>3+</sup> has been used to deposit their corresponding nanoparticles on semiconductors, which shows improved photocatalytic performance compared to isolated semiconductors [209,210].

Not only heavy metals, but also plasmonic photocatalysts have been used for the removal of nitrate. In a recent review by Westerhoff et al.,



one can find the summary of photocatalysts used for the reduction of nitrate, including plasmonic photocatalysts [211]. The decoration of plasmonic nanoparticles on semiconductor not only improves the performance in terms of enhanced charge transfer and light absorption efficiency, but also changes the bandgap of the catalyst, which thus achieve the tuning of reduction products, such as ammonia or nitrogen [211].

#### 4.1.3. Beyond

As shown above, plasmonic photocatalysis has been widely used in the remediation of environment, including applications for both organic and inorganic contaminants. It is noteworthy that the satisfactory performance of plasmonic photocatalysis has also enabled simultaneous removal of contaminants and resource recovery. For example, Lianos et al. synthesized Pt/CdS/TiO<sub>2</sub> to achieve H<sub>2</sub> production during the removal of organic contaminants. In their system, Pt acts as electron sink which facilitates the separation of holes and electrons. As a result, the reduction of H<sup>+</sup> to H<sub>2</sub> takes place near the surface of Pt, while the holes on TiO<sub>2</sub> surface oxidize the organic contaminants [212]. A similar strategy has also been applied in some photoelectrochemical cells, where the oxidation and reduction reactions are separated. In a typical photoelectrochemical cell, a photocathode and a photoanode are connected to external circuit. Under light irradiation, electron/hole pairs are generated on the photoanode. The produced holes initiate oxidation reactions, whereas the electrons transfer to the photocathode to initiate reduction reactions. This concept has been widely used in studies for energy production, such as water splitting [213]. In the environmental sector, photoelectrochemical processes have been applied for the decomposition of organic contaminants, reduction of heavy metals, reduction of nitrate, disinfection, and energy production during wastewater treatment processes [214–216]. We note that even though plasmonic nanomaterials are widely explored for water splitting, they have rarely been used in the environmental remediation. Several examples are shown as follows: Wang et al. developed a photoelectrochemical cell with Ag/AgCl@chiral TiO<sub>2</sub> nanofibers as photoanode. The electrons transferred to the counter electron not only produce electricity, but also achieve production of hydrogen [196]. They also developed a cell to recycle heavy metal from wastewater by the photogenerated electrons [195]. Because of the tunable properties of plasmonic nanomaterials, the oxidizing and reducing capacities of cell that employed them as photoanode could be explored further for many redox reactions in environmental remediation. Moreover, we can expect with further progress in material science, more efficient resource recovery from wastewater can be achieved by plasmonic photocatalysts.

## 4.2. Remediation of air pollution

The development of plasmonic nanomaterials has also offered great opportunities for the removal of air pollutants. In this section, we introduce some recent progress in the removal of both organic and inorganic air pollutants over plasmonic nanomaterials. It should be noted that besides those air pollutants discussed in this section, some studies also investigated the potential of plasmonic related nanomaterials for the disinfection of bioaerosols. The disinfection of bioaerosols is discussed in Section 5, along with the discussion of waterborne bacteria and viruses.

The removal of air pollutants also relies on the redox reactions initiated by the photogenerated charges. The holes or the resulted ROS can oxidize some air pollutants, while the electrons can reduce some other pollutants. Since the underlying mechanisms are very similar to those for the remediation of water pollutants, in this Section, we mainly focus on the discussion on what kinds of air pollutants can be removed by plasmonic related materials.

### 4.2.1. Organic contaminants

Among numerous indoor air pollutants, such as particulate matter (PM), carbon monoxide, and biological pollutants, volatile organic compounds (VOCs) has been gaining increasing attention [217]. VOCs are ubiquitous in many indoor environments. More importantly, their concentrations are consistently higher indoors than outdoors [218]. Exposure to VOCs may cause serious symptoms [219,220]. The common methods to remove the VOCs include source control, increasing ventilation rates, and air cleaning [221]. Photocatalysis is currently being considered as an efficient way to control VOCs, while with plasmonic nanomaterials, the visible light driven photocatalytic degradation of VOCs has been achieved.

VOCs are defined as organic compounds with a boiling point ranging from 50 to 260 °C at atmospheric pressure and room temperature [222]. Formaldehyde (HCHO), toluene, acetaldehyde, and paradichlorobenzene are some of the VOCs. They may come from flooring materials, painting and wood materials [223]. HCHO has small molecular weight and is believed to be decomposed relatively easily. Meanwhile, HCHO is considered as one of the most concerning VOCs. Thus, many studies chose it as target VOC for the demonstration of the photocatalytic performance of their materials. Fujishima et al. firstly used TiO<sub>2</sub> film for the photocatalytic degradation of gaseous HCHO [224]. Since then, many studies have reported the potential of different semiconductors for the photocatalytic degradation of HCHO. In 2005, He et al. reported the photocatalytic degradation of HCHO over Pt/TiO<sub>2</sub>, where they found that Pt can significantly improve the performance, and more importantly, HCHO was totally mineralized into CO<sub>2</sub> and H<sub>2</sub>O [225]. Later, different plasmonic nanoparticles, including Au and Ag, and various semiconductors, such as Al<sub>2</sub>O<sub>3</sub>, Fe<sub>2</sub>O<sub>3</sub> and MnO<sub>2</sub> have been incorporated to the efficient photocatalytic degradation of HCHO [226]. Notably, not only the types of plasmonic metals and semiconductors, but also the crystal facet of semiconductor has a significant effect on HCHO oxidation. In photocatalytic studies dealing with CeO<sub>2</sub> loaded with Pd, Zhou et al. found that the [110] facet of CeO<sub>2</sub> can more efficiently oxidize HCHO into CO<sub>2</sub> than the [111] facet [227]. More recently, Wang et al. developed a composite of Au@ZnO@ZIF-8 for the simultaneous detection of removal of HCHO. In their system, ZIF-8 with high surface area can concentrate trace level of HCHO on the surface of ZnO. Under visible light irradiation, the electromagnetic field around ZnO was enhanced by Au nanorods, and facilitated the oxidation of HCHO [119].

Different from HCHO, other VOCs are more difficult to be decomposed. For example, the highly stable aromatic ring of toluene usually remains intact. The carbonyl group makes the phenyl ring even more inert since the conjugation effect decreases its electron density [223]. Consequently, the studies on the photocatalytic degradation of these VOCs usually involve investigations on the final products and how the incorporation of plasmonic materials affects product selectivity. For example, in studies on the photocatalytic oxidation of benzene over Pt/TiO<sub>2</sub>, Einaga et al. demonstrated that the final oxidation products were composed by both CO<sub>2</sub> and CO. By modifying the ratio between Pt and TiO<sub>2</sub>, the produced CO was further oxidized into CO<sub>2</sub> [228]. Even though they did not elucidate the detailed mechanism, their study indicates that the incorporation of plasmonic nanomaterials affects product selectivity. Similarly, Xu et al. reported that by introducing Ag/AgBr onto P25, most of benzene can be photocatalytic decomposed into CO<sub>2</sub>. For pristine P25, the complete mineralization of benzene, however, was difficult to observe [229]. They found that for Ag/AgBr/P25, more ·OH can be formed. With the incorporation of Ag nanoparticles, the bandgap decreased to 2.9 eV from 3.5 eV of that for pristine P25. Meanwhile, Ag nanoparticles can greatly improve the charge relaxation time to 27 μs from 18 μs. Consequently, Ag/P25 photocatalyst can oxidize more benzaldehyde into CO<sub>2</sub> [230]. The incorporation of plasmonic materials also helps to maintain the activity of semiconductors. For example, Li et al. used Ag loaded α-Fe<sub>2</sub>O<sub>3</sub> for the photocatalytic oxidation of benzene. The produced intermediates were

identified by *in-situ* Fourier transform infrared spectroscopy. They found that for pristine  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>, benzene was firstly oxidized into benzaldehyde and benzoic acid, which may retain on the surface of catalyst and cause its progressive inactivation. However, with the doping of Ag nanoparticles, no intermediates were observed. Consequently, the Ag loaded  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> exhibited higher stability [231].

As shown above, numerous studies have demonstrated that the incorporation of plasmonic materials with semiconductors have greatly improved the photocatalytic degradation of VOCs, either by improving the stability of photocatalysts, or by facilitating the complete mineralization. However, the loaded amount of plasmonic nanomaterials should be optimized for this improvement. Kubacka et al. studied the photocatalytic degradation efficiency of toluene by tuning the loading amount of Ag on g-C<sub>3</sub>N<sub>4</sub> and they found that with 1 wt% loading amount, the efficiency was the highest [232]. This was because excessive amount of Ag may act as recombination center for holes and electrons.

#### 4.2.2. Inorganic contaminants

Major inorganic contaminants in air include carbon monoxide (CO), nitrogen containing compounds (NO<sub>x</sub>), sulfur containing compounds (SO<sub>x</sub>), and mercury (Hg). CO is colorless, odorless, and toxic gas. The oxidation of CO into CO<sub>2</sub> has been believed to be the most reliable way for its abatement in air. Even though CO is flammable, its concentration in contaminated air is very low, which means its complete combustion is impossible [233]. To achieve its remediation, it is thus necessary to accumulate CO and catalyze its subsequent oxidation. CO is a molecule with three resonance structures, which renders its exceptional adsorption to the surface of metal oxides and metals [233]. CaO, Al<sub>2</sub>O<sub>3</sub>, and Pt have been used for this purpose [234]. Gulari et al. used Pt/Al<sub>2</sub>O<sub>3</sub> for the selective catalytic oxidation of CO. They also investigated the effect of H<sub>2</sub>O and CO<sub>2</sub> on the catalytic oxidation, and found that the presence of H<sub>2</sub>O can improve the reaction efficiency while CO<sub>2</sub> can poison the catalyst [235]. It should be noted that this study was carried out at elevated temperatures. To initiate the CO oxidation at room temperature, photocatalysis was later introduced. For example, Einage et al. reported the photocatalytic oxidation of CO over Pt/TiO<sub>2</sub>. By investigating the reaction details, they found that Pt in this system serves as active sites for the chemical adsorption of CO [236]. More recently, it was found that the adsorbed CO reacts with Pt to form platinum carbonate. Interestingly, this reaction is reversible and thus make this reaction a catalysis process [237]. Not only Pt, but also other plasmonic metals, such as Au, have been used for this application. The incorporation of Au on TiO<sub>2</sub>, Co<sub>3</sub>O<sub>4</sub>, ZnO, and Fe<sub>2</sub>O<sub>3</sub> has been demonstrated for the catalytic oxidation of CO [238,239]. It is known that under light irradiation, plasmonic resonance helps produce thermal energy, which may help facilitate chemical reactions. Cronin et al. demonstrated that for Au/Fe<sub>2</sub>O<sub>3</sub>, the improved CO catalytic oxidation did not result from the thermal (plasmonic) heating alone [240]. On the other hand, the size of Au nanoparticles also has a significant effect on the photocatalytic oxidation of CO [241].

NO<sub>x</sub> are also poisonous gases emitted primarily from fuel combustion. Their presence is of major environmental concern since they cause acid rain, photochemical smog, and ecological toxicity [242]. Since NO<sub>x</sub> have complex chemical properties, both photocatalytic oxidation and reduction can be used for their remediation. In some cases, NO<sub>x</sub> can be oxidized into nitrate ions in water. However, NO<sub>x</sub> can also be reduced to N<sub>2</sub>. Several reactions related to the oxidation of NO are presented below:



As shown above, the oxidation of NO can give some harmful intermediates. Consequently, for the photocatalytic oxidation of NO<sub>x</sub>, a major interest is the control of the intermediates [243]. Huang et al. reported that with the modification of Ag nanoparticles, the perovskite oxide (SrTiO<sub>3</sub>) showed an improved photocatalytic oxidation of NO into nitrate ions. Due to the plasmonic effect, abundant ROS were produced, which inhibited the generation of harmful intermediates [244]. Wang et al. reported that by calcination, Schottky contact between Ag and TiO<sub>2</sub> and oxygen vacancies on TiO<sub>2</sub> can be formed, which promoted the selective photocatalytic reduction of NO to N<sub>2</sub> [245]. SO<sub>x</sub> are also harmful gases resulting from combustion of fuels. The removal of SO<sub>x</sub> through photocatalysis is an emerging field [63,246,247], even though the studies on the plasmonic application in this field are very few [248].

Elemental mercury (Hg) species, as the predominant species in flue gas when burning lignite coals, are not efficiently controlled by available air pollution control devices [249]. Due to the high mobility and low solubility of Hg in water, the technologies for its abatement mainly focus on its oxidation. Composite plasmonic photocatalysts have been proposed for this application [248]. We note that Ag and its halides are the major plasmonic materials used for the photocatalytic removal of mercury [228,250]. The wide use of these materials is only partially attributed to the excellent optical and electrical properties of Ag and satisfactory catalytic activity of silver halides. More importantly, the amalgamation mechanism also helps the improved adsorption toward Hg [228]. It should be noted that many of the air pollutants come from the combustion of fuels. As a result, it is necessary to investigate their removal efficiency in the presence of multiple components. For instance, the effect of moisture and oxygen should be carefully considered [251].

## 5. Inactivation of microorganisms

Antimicrobial resistance is a continually growing problem as the usage of antimicrobial drugs increase, and bacteria have developed new mechanisms to combat these drugs that were designed to combat them [252]. It is therefore of utmost importance to develop novel methods and processes for disinfection against antimicrobial resistant organisms. Although disinfection methods currently used can efficiently control microbial pathogens, research in the past few decades has uncovered a dilemma between harmful disinfection byproducts and effective disinfection [253]. The significant achievement in nanomaterial science has shown a promising feature to re-invent the century-old conventional disinfection methods. Along with the potential of plasmonic nanoparticles previously mentioned in this review, many noble metal plasmonic nanoparticles, such as Ag or Au, often exhibit the additional benefit of antimicrobial properties. It is necessary to first look at the cell structures before we discuss the mechanism of photocatalytic disinfection. As shown in Fig. 8a, bacteria cell have very complex structures [254]. The compositions and roles of different cell components can be summarized as follows: (1) Some species of bacteria have a capsule, which is composed of carbohydrates. The role of capsule is to maintain hydration; (2) Cell wall is composed of protein-sugar molecules. The wall gives the cell its shape and surrounds the cytoplasmic membrane, protecting it from environment; (3) The cytoplasm of bacteria cells is where the functions for cell growth, metabolism and replication are carried out; (4) cytoplasmic membrane consist of a layer of phospholipids and proteins, which encloses the interior of bacteria and regulates the flow of materials in and out of the cell; (5) Flagella beat in a propeller-like motion to help the bacteria move toward nutrient and away from toxic chemicals; (6) Nucleoid is a region of cytoplasm where DNA is located; (7) Pilus help bacteria attach to other cells and surfaces; (8) Ribosomes translate the genetic code from nucleic acid to amino acid [254]. Different bacterial cells may have various cellular wall

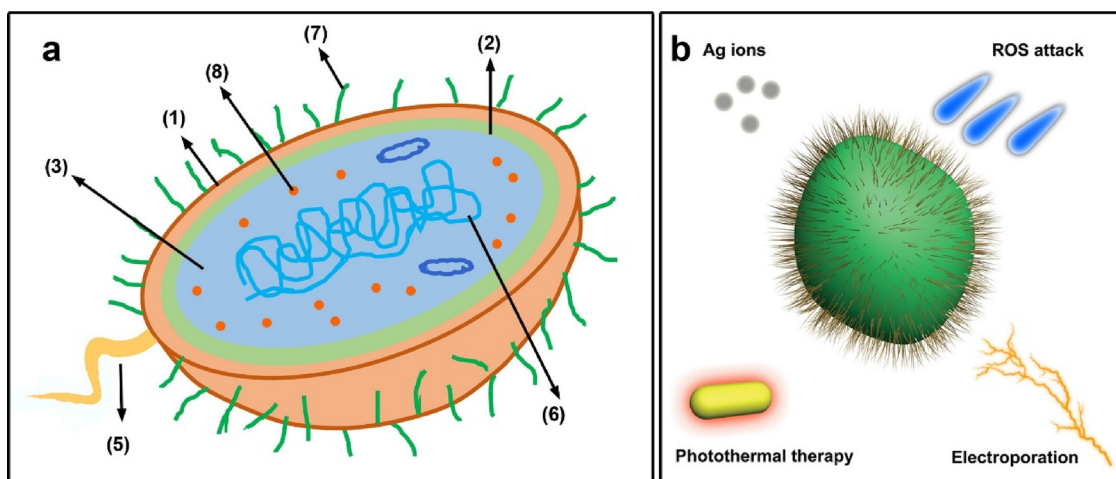


Fig. 8. (a) Typical structure of a bacterial cell. (b) Four typical mechanisms involved in the plasmonic nanomaterials-enabled inactivation of bacteria.

composition, and they are classified as Gram-positive and Gram-negative bacteria [255]. Previous studies have suggested that the plasmonic-based inactivation to bacteria may result in the damage of every single component of bacterial cells [255–257]. Rather than discussing the damage of the plasmonic nanomaterials cause to the components of cells, the discussion on the disinfection mechanism falls into four general categories: Ag, photocatalytic inactivation, photothermal inactivation, and electroporation (Fig. 8).

### 5.1. Silver

The antimicrobial capacities of silver have been recognized for a long time and used in many areas such as sterilization of medical devices [253]. Feng et al. presented a systematic study on the antibacterial effect of  $\text{Ag}^+$  on *Escherichia coli* (*E. coli*) and proposed that the protein became inactivated and DNA lost its replication ability after the treatment of  $\text{Ag}^+$  [258]. One of the earlier demonstrations of silver nanoparticles in environmental application is the inactivation of *E. coli* with the  $\text{Ag-TiO}_2/\text{UV}$  system [259]. Sökmén et al. studied the photocatalytic disinfection of *E. coli* with Ag loaded  $\text{TiO}_2$ . They found that the loading of Ag dramatically reduced the UV illumination time for the complete disinfection [259]. Even though they did not elucidate the detailed disinfection mechanism, their study has facilitated the investigation of this field. Soon after this study, Salopek-Sondi and Sondi investigated the disinfection capacity of Ag nanoparticles. *E. coli* was also used as target microorganism, but they did not use UV light [260]. They found that in the presence of Ag nanoparticles, the cells of bacteria were seriously damaged and “pits” were observed in the cell walls. They also investigated the element composition of the treated bacteria and observed the existence of Ag. Further TEM images confirmed that Ag nanoparticles were accumulated in the membrane, and many of them penetrated into the cells successfully [260].

Moreover, Ag ions could be produced because of the dissolution of Ag nanoparticles. Ag ions react with thiol groups in proteins, inactivating respiratory enzymes and also enabling the production of ROS [261]. Ag ions have also been reported to destroy the structure and permeability of cell membrane and prevent DNA replication [258]. However, it should be noted that Jeong et al. found the possible connection between disinfection performance and the bacteria species [262]. They found that Ag nanoparticles only showed mild inhibitory effect on *Staphylococcus aureus* (ATCC 19636), which is a Gram-positive bacterium, while Ag nanoparticle could effectively kill *E. coli* (Gram negative). They attributed this difference to the different peptidoglycan layer thicknesses of the membrane structures of Gram negative and Gram positive bacteria [262]. In addition, Guzman et al. found out that the size of Ag nanoparticles also influences the disinfection

performance, and smaller size shows better performance [263]. This is readily acceptable since for smaller Ag nanoparticles, more Ag atoms are exposed, which facilitates its release as  $\text{Ag}^+$ . Based on this theory, it is of no surprise that not only Ag nanoparticles but also the nano-composites of Ag, such as silver halides nanoparticles, exhibit antibacterial performance [264].

### 5.2. Photocatalytic disinfection

Photocatalysis has also been used for the disinfection of microorganisms. The fundamental mechanisms of this process rely on the ROS production driven by the light irradiation [265,266]. As already mentioned in Section 3, photocatalytic process can oxidize most of the organics. Notably, almost every part of cell is composed of organics, or contains organics at least. In fact, as much as 96% of the cell's dry weight is composed of organic macromolecules [265]. Within this context, photocatalytic disinfection may be attributed to the decomposition of cell structure by ROS. Cell membrane rupture caused by ROS has also been considered as the primary mechanism of photocatalytic disinfection process [267].

When ROS are produced around bacteria, the first barrier between them is the capsule. Extracellular polymeric substances are located near the cell surface, maintaining the hydration and providing a protective fence against environmental stress [268]. It was once hypothesized that the extracellular polymeric substances may prevent ROS from attacking the cell. Liu et al. demonstrated the protective function of extracellular polymeric substances by comparing the disinfection efficiencies of heterotrophic bacteria to the same bacteria without extracellular polymeric substances. Removing the extracellular polymeric substances by centrifugation increased the inactivation efficiency [269]. On the contrary, Sharon et al. found no observable effect of extracellular polymeric substances on the susceptibility of cells [270]. A more recent study suggested a dual function of capsular extracellular polymeric substances in the photocatalytic inactivation of *E. coli*, even though extracellular polymeric substances prevent cells from being attacked by ROS, these substances also facilitate photocatalytic inactivation by accelerating the adhesion of photocatalysts to the cell surface [271].

Photocatalysis may also disorder the cell wall of bacteria. Kiwi and Nadtochenko evaluated the effect of photocatalysis on phosphatidylethanolcholine, peptidoglycan, and lipo-polysaccharide (LPS) of the *E. coli* membrane wall with FTIR [272]. Their results suggest that photocatalysis results in the disorder of LPS lipid layer and the peroxidation of LPS on  $\text{TiO}_2$  is also faster than *E. coli*, suggesting that the LPS bilayer structure order determines the rate of photocatalytic peroxidation process. By comparing the peroxidation of three major components of the wall, they also found that PGN is the most resistant toward



peroxidation. With laser photolysis, they also found that the reaction between LPS, *E. coli* or phosphatidyl-ethanolcholine and holes competes with the recombination between holes and electrons [272].

The damage to cytoplasmic membrane is another major mechanism of the photocatalytic inactivation of bacteria. A few studies have shown the lipid oxidation and the release of cell content, such as potassium ions, RNA, and proteins, during the photocatalytic process [273,274]. By monitoring the death of *Saccharomyces cerevisiae* with flow cytometry and a double straining strategy, Guillard et al. suggested that cytoplasmic membrane constituted a key target of the ROS, which irreversibly damaged even in the presence of a thick cell wall [275]. DNA damage has also been considered as one of the primary mechanisms for photocatalytic inactivation. Dukan and Gogniat revealed that photocatalysis causes damage that becomes deleterious during recovering from photocatalytic stress, especially for mutants sensitive to ROS species [276].

Generally, the above studies have revealed that photocatalysis may destruct almost every single component of the cell. In fact, the photocatalytic inactivation of bacteria could be a step-by-step process. For example, ROS may first destruct the cell membrane, which increases the permeability of the cell membrane. Therefore, ROS could accelerate intracellular protein carboxylation and DNA degradation to ultimately cause bacterial death [277].

### 5.3. Plasmonic photothermal therapy

Even some plasmonic nanomaterials with excellent biocompatibility (e.g. Au) demonstrate their potential for selective inactivation, which is difficult for Ag and ROS. The response of plasmonic nanoparticles to NIR creates a localized heating effect that can be utilized in many disinfection applications, now termed as photothermal therapy [278]. The selective killing of cancer cells with gold nanoparticles is among the earliest demonstrations of the great potential of photothermal therapy [279]. The treatment of bacteria and viruses further shows the promise of this technology [280,281]. So far, the mechanism of photothermal inactivation of bacteria has been widely accepted: when the frequency of incident light overlaps with the plasmonic resonance of Au nanoparticles, the excited electrons result in non-equilibrium and rapid heating. The produced heat can kill cells through different ways, such as induction of heat-shock proteins, endothelial swelling, denaturation of proteins/enzymes, metabolic signaling disruption, and microthrombosis [64].

One of the advantages of Au nanoparticles-enabled photothermal disinfection is the selectivity. Various functional groups can be capped on the surface of Au nanoparticles, improving colloidal stability of Au nanoparticles in environmental media, e.g. aqueous and oil phase [36,282]. The anchor of these functional groups is attributed to the strong affinity between the gold atoms and these groups. For example, amino group, thiol group, carboxyl group, and phosphorus group all have strong affinity to Au atoms. On the other hand, some of these groups are essential components of biomolecules. Therefore, with appropriate surface modification, proteins, enzymes, DNA, and RNA can also be capped on the surface of Au nanoparticles [283–285].

The various surface functionalities of Au nanoparticles make them promising candidate for the conjugation with biomolecules. With the aid of different functional biomolecules, Au nanoparticles can selectively attach on the surface of target bacteria, and thus achieve the selective killing. For instance, Sabo-Attwood et al. used primary antibodies modified Au nanorods to selectively destroy the pathogenic Gram-negative bacterium, *Pseudomonas aeruginosa* (PA) [281]. By applying step-by-step surface modification, IgG-conjugated Au nanorods were produced. Without any antibody modification, very few of Au nanorods can land on the surface of bacteria. On the other hand, with antibody conjugation, the binding efficiency was significantly increased. When the solution of Au nanorods and bacteria was irradiated by NIR light (785 nm) for 10 min, the cell viability decrease by 75%

[281]. Similarly, Ray et al. reported popcorn shaped Au nanoparticles which are antibody-conjugated for the selective killing of drug-resistant *Salmonella typhimurium* DT104 bacteria. The antibody modified popcorn shaped gold nanoparticle can conjugate with bacteria efficiently. Thus, when they were irradiated by 670 nm laser, the photothermal effect led to a nearly 100% inactivation of bacteria [286].

Recently, the advantages of some unique nanostructures (1D nanowires) have been taken to inactivate bacteria. It has been confirmed that the electrical field near the tips of nanowires can be enhanced significantly (e.g. magnitude of  $10^4$ ) [287,288]. The enhanced electrical field builds intense dipole-dipole interactions with the lipid bilayer of the cell membrane, resulting in thinning of the membrane and finally electroporation pores immediately [287–292]. For example, Cui et al. reported that when applied with voltage of 20 V, silver nanowires could inactivate > 98% of bacteria within only a few seconds [288].

## 6. Toxicity of plasmonic nanomaterials

As discussed in above sections, plasmonic nanomaterials have demonstrated promising potentials for environmental remediation. However, the achievement in nanotechnology and the increasingly wide use of nanomaterials have raised concerns on their environmental impacts [293]. Specifically, the possibility of their release into environment and subsequent effects on the health of ecosystem is urgently necessary to be addressed [294]. The public debate on whether the environmental cost of nanotechnology outweighs its benefits has been growing [295].

### 6.1. Fate and transformation of plasmonic nanomaterials in the environment

Similar with many other engineered nanomaterials, gold and silver nanoparticles may be released into the environment during their whole life cycle, including the initial synthesis, the manufacturing and incorporation of nanomaterials into commercial products, the use of these products, and even the recycle and disposal of these products [296,297]. It should be noted that most engineered nanomaterials including gold and silver nanoparticles released from domestic and industrial sources enter sewer systems [298]. These nanoparticles then enter and transport among various environmental compartments, including surface waters, groundwater, soil, sediment, and even atmosphere [299] as follows (Fig. 9a): ① Nanomaterials in consumer products find their way through waste disposal streams and finally into wastewater treatment plants [300]; ② These nanomaterials can reach surface waters through wastewater effluents and ③ reach soils through the treatment of sludges, nanomaterial-based soil remediation [301], nanomaterials in fertilizers and plant-protective products can also directly enter soils [302]; ④ Nanomaterials can also enter groundwater through nano-enabled groundwater remediation technologies [296], and nanomaterials retained in soils can also break through soil matrix and reach groundwater [303]; ⑤ Nanomaterials in the soil may also enter surface waters through runoffs [304]; ⑥ Nanomaterials in surface waters undergo complex transformation and subsequent deposition to sediment [305]; ⑦ and ⑧ Nanomaterials that are emitted to ambient atmosphere can finally precipitate to surface waters and soils [303,306].

Gold and silver nanoparticles are then subject to transformations due to the complex conditions in these environmental compartments, and the transformations of silver are more complex than gold due to its more reactive chemistry. As a result, we mainly discuss the transformation of silver nanoparticles in the environment in this section. It is helpful to understand the physical and chemical processes in the synthesis of silver nanoparticles which is more simplified compared to the situations in the real environment. In a typical synthesis of silver nanoparticles, precursor of silver (usually silver salts), solvent, reducing agent (which reduce silver ions to silver atoms), and surfactant (which

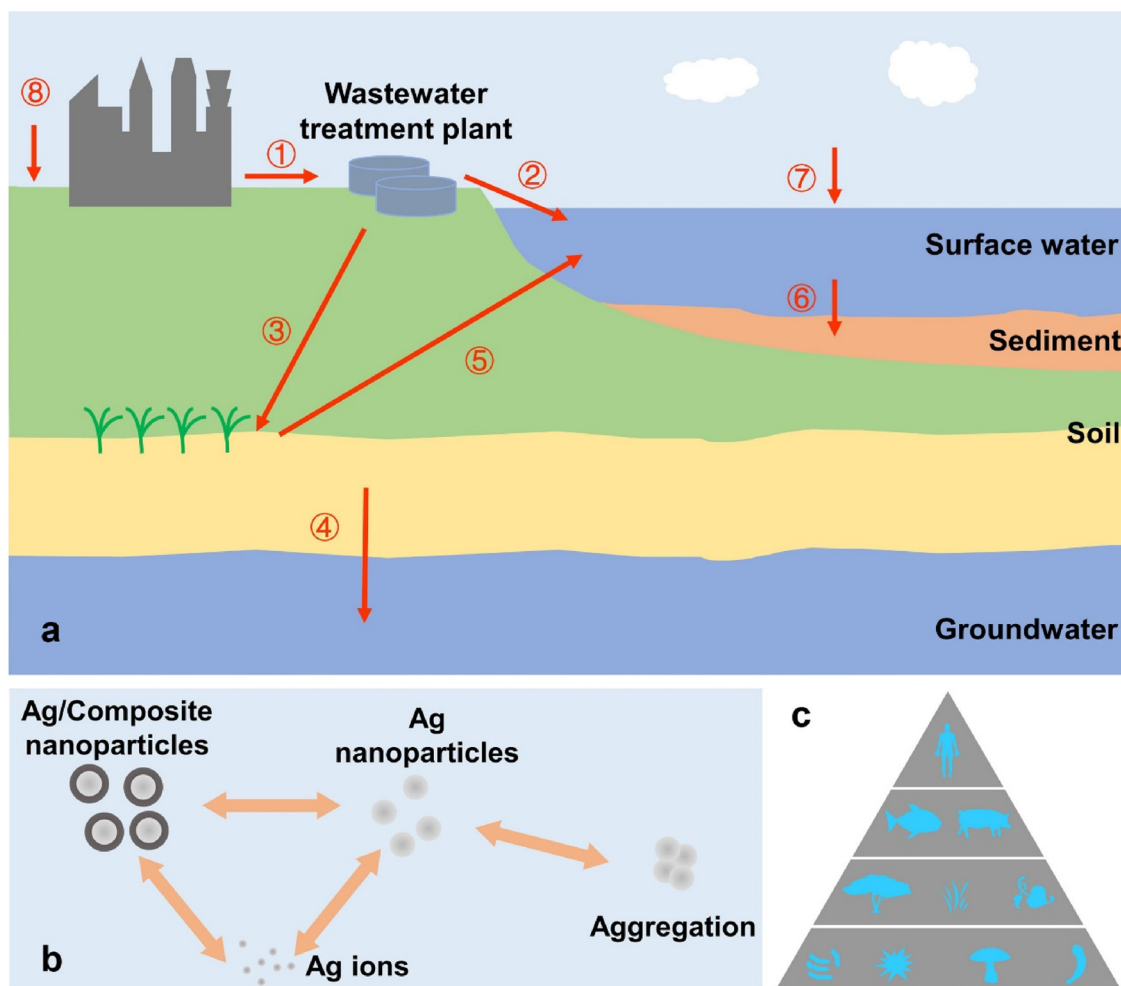


Fig. 9. (a) Nanomaterials enter the environmental compartments through several major routes. (b) Transformations of silver nanoparticles. (c) food web has been affected by plasmonic nanoparticles.

guide the growth of silver nanostructures and stabilize the nanoparticles, some surfactants can also serve as reducing agents) are mixed [307]. The produced silver atoms then organize into nanocrystals whose growth is significantly affected by the reaction conditions, such as temperature and types of solvent and surfactant. Because the reduction from silver ions to silver atoms and the dissolution from silver atoms to silver ions are dynamic, one can tune the reaction kinetics to control the structure of silver nanoparticles [308]. The silver nanoparticles are then collected by centrifugation. It should be noted that extensive centrifugation could result into the aggregation because the surfactants on the nanoparticles are removed under this condition. Even though there are enough surfactants on the surface of nanoparticles, they may undergo aggregation in the presence of high ionic strength [27]. It is also acceptable that the type of surfactants affects the aggregation of nanoparticles significantly. Because silver is relative reactive, silver nanoparticles can be oxidized and form a layer of  $\text{Ag}_2\text{O}$  on their surface during the storage [309]. Silver nanoparticle may also transform into  $\text{Ag}_2\text{S}$  [310] and silver halides [311] under certain reaction conditions.

Above processes (as simplified in Fig. 9b) are the fundamentals of the transformations of silver nanoparticles in the real environment. The difference between the situations in the real environment and in the batch studies in the laboratory is that the affecting parameters in the environment are significantly more and the chemistry is more complex. For example, the ionic strength for seawater can be as high as 700 mM, which is enough to induce the aggregation of silver nanoparticles

[312]. Meanwhile, the halides in seawater could also transform silver to silver halides [178]. Another key component in environmental compartments is natural organic matter (NOM), which can potentially replace the surfactants on the surface of nanoparticles (this process is similar to the ligand exchange mechanism in the colloidal chemistry), and thus affect their transformations [313]. Because of the complex nature of NOM, they can either increase the stability of silver nanoparticles, or facilitate their dissolution [314–319]. On the other hand, the sulfidation of silver to  $\text{Ag}_2\text{S}$  nanoparticles was widely observed in wastewaters possibly due to the relatively high concentration of  $\text{H}_2\text{S}$  [300]. It should also be noted that the formation of different silver compounds (e.g.  $\text{Ag}_2\text{O}$ ,  $\text{Ag}_2\text{S}$ , and silver halides) can greatly affect the release of silver ions since these compounds have different dissolution rates compared to pristine silver nanoparticles [312]. Recent studies also show that the chemistry at the interface between inorganic nanoparticles and organisms can affect the transformation of gold nanoparticles [320,321].

## 6.2. Toxicity of plasmonic nanomaterials in the environment

Due to the complicated fate and transformations of plasmonic nanomaterials in the environment, all the relevant organisms may be affected since the nanomaterials in compartments can transport to food webs [322]. Numerous studies have reported the possible toxicity of plasmonic nanoparticles to food web numbers (Fig. 9c). For example, as we have discussed in Section 4, plasmonic nanomaterials are able to

inactivate bacteria. Besides the toxicity revealed by disinfection applications, silver nanoparticles have been verified to affect natural bacterial communities in sewage sludge [323,324], in sediment [325], and in soil [315]. A previous study evidenced the possibly adverse effect of gold nanoparticles on reproduction of earthworm, and suggested the nanoparticles in soil may enter terrestrial food webs [326]. Plasmonic nanoparticles can also adhere to the exoskeleton of invertebrates to form aggregation and cause toxicity [327], which can be significantly affected by NOM [318]. Silver and gold nanoparticles also have shown the effects on the growth, biochemical traits, and production of plants [301,328–331]. *In vivo* and *in vitro* assessment has shown the adverse effect of gold and silver nanoparticles on animals [332,333]. Studies on the cellular level also suggest the possible effects of plasmonic nanoparticles on human health [334,335]. However, we noted that few studies have focused on food web research [322,336] and long-term toxicity of plasmonic nanomaterials.

The inactivation effects of silver nanoparticles on bacteria, which has been discussed in Section 4, is mainly attributed to the release of silver ions and the generation of ROS (Fig. 8). However, toxicological studies sometimes present controversial results on the origin of the toxicity of silver nanoparticles [333]. Whether the toxicity of silver nanoparticles is from the nanoparticles themselves or the silver ions released by them is still an undergoing debate. A previous study revealed that the ratio between silver nanoparticles and silver ions in the suspension of silver nanoparticles is critical to the results [337]. However, from the perspective of colloidal chemistry, the release rate of silver ions from silver nanoparticles is highly dependent on the size, morphology, surfactant, composition, and the ambient environment of silver nanoparticles. Meanwhile, these factors also affect the transformation of silver nanoparticles. It is thus challenging to isolate the effects from the silver nanoparticles themselves and silver ions on the toxicological performance.

Different from silver, bulk gold is considered to be “safe” and chemically inert. However, beside the antibacterial properties induced by photothermal therapy, gold nanoparticles are also of toxicological properties. One of the mechanisms is the surfactants used for the synthesis of gold nanoparticles are sometimes toxic. For example, cetyltrimethylammonium bromide (CTAB, a toxic chemical) is often used for the synthesis of gold nanorods to direct the particle growth in one direction [49], which may be released to the ambient environment from inadequate purification or desorption of surfactant from the surface of the nanorods and induce toxicity [334]. Size effect also plays an important role for the toxicity of gold nanoparticles. It has been shown that gold nanoparticles below certain sizes are able to pass the membrane of cells, and because the gold nanoparticles with smaller size are more active and able to produce ROS, they can induce toxicity.

## 7. Summary and outlook

The recent progress of the environmental applications plasmonic (nano) materials and their underlying mechanisms has been evaluated. Many efforts have been devoted to the remediation of contaminants in water and air with plasmonic nanomaterials due to their fantastic properties, including tunable optical properties, significant photothermal effect, satisfactory electrical conductivity, and excellent catalytic activity. Various studies have shown that not only conventional contaminants (e.g. dyes), but also many emerging contaminants such as pharmaceuticals and personal care products and endocrine disrupting chemicals in water can be decomposed by using plasmonic photocatalysis. Plasmonic nanomaterials have also been intensively studied to address the air pollution issues such as VOCs and flue gases. The inactivation of bacteria with plasmonic nanomaterials is another field of interest. The following issues should also be considered while selecting nanomaterials for photocatalytic applications.

- (1) complete mineralization of contaminants must be achieved in some cases due to the potential risks of intermediates;
- (2) the cost of plasmonic nanomaterials and the associated treatment processes need to be significantly decreased.  
It is reasonable to consider that plasmonic nanomaterials may not be used for the removal of all types of organics at least based on cost, since most plasmonic metals are noble except copper. However, plasmonic nanomaterials may be promising for the control of trace contaminants, which are of high concern and difficult to be removed by conventional treatment processes. Meanwhile, the recyclability and stability of plasmonic nanomaterials are also two parameters to further lower the cost of this technology;
- (3) How to improve the stability of plasmonic nanomaterials in real environmental matrices is essentially important for their practical use. Most of current studies have focused on the performance test in synthetic waters, but few of them considered the interaction between complex water components with functional nanomaterials. Moreover, the potentially ecological risks of these materials should be investigated in case of failed recycle or recovery.
- (4) The ecological safety of plasmonic nanomaterials certainly has a significant effect on their applications. Even though no simple conclusions from previous studies can be drawn due to the different experimental designs, it is clearly shown that plasmonic nanomaterials can affect the ecosystem. It is thus highly important to evaluate the applications of plasmonic nanomaterials from a life-cycle perspective. Meanwhile, more sustainable synthesis of plasmonic nanomaterials and their integration in devices are also desirable.

Beyond the progress summarized here, we also find some other opportunities for plasmonic nanotechnologies in the environmental sector. Few examples are summarized here: (i) Wastewater is now being considered as a valuable resource other than waste, so how to recycle the nutrients, heavy metals, and energy from wastewater is of high interest; (ii) In addition to plasmonic nanomaterials, most of the other functional nanomaterials are designed for the remediation of water and air pollution, while only few of them are used in soil decontamination. However, soil decontamination has gained intensive attention recently since many heavy metals and toxic organics have been found in soils. How to address the issue of soil contamination is another critical question; (iii) In addition, plasmonic nanomaterials are promising for the detection of various contaminants, including organic and inorganic pollutants as well as pathogenic microorganisms. (iv) One can certainly design an appropriate device for detection purposes, but for remediation purposes, especially water treatment, we should consider carefully on how to assemble nanotechnology into conventional water treatment processes. The debate on whether photocatalysis is a pretreatment or a post-treatment process is still ongoing and is based on the properties of the treated water. However, regardless of the outcome of the debate, proper design of photocatalytic reactors to improve the photocatalytic efficiency is of high interest.

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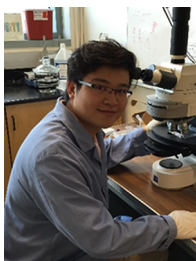


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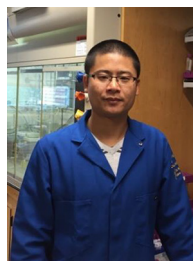
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