

Ti-25Nb-25Ta alloy treated by plasma electrolytic oxidation in phosphoric acid for implant applications

Gregory Beilner¹, Bruno Leandro Pereira^{1,2}, Carlos Maurício Lepienski^{1,3}, Gelson Biscaia de Souza⁴, Aline Rosseto da Luz¹, Erico Saito Szameitat¹, Neide Kazue Kuromoto¹, Ana Paula Rosifini Alves Claro⁵, Viviane Seba Sampaio², Bor Shin Chee² and Michael J D Nugent²

e-mail: beilner.gregory@gmail.com, brnlp7@gmail.com, lepiensm@fisica.ufpr.br, gelbsou@yahoo.com.br, arossettoluz@gmail.com, ericoss@gmail.com, nkkuromoto@gmail.com, paula.rosifini@unesp.br, v.ssmarchi@research.ait.ie, b.schee@research.ait.ie, MNugent@ait.ie.

ABSTRACT

Among titanium alloys with non-toxic elements, the Ti-25Nb-25Ta alloy has good elastic behavior for applications in osseous implants, biocompatibility, and excellent corrosion resistance. The present study aimed to better the biocompatibility characteristics of Ti-25Nb-25Ta alloy modifying its surface through Plasma Electrolytic Oxidation (PEO) treatment. The formed oxide coating is amorphous and composed of two distinct porous formations: smaller hole-shaped pores and larger volcano-like pores. The regions with the formation of smaller pores and in the hole shaped presented the highest atomic percentage of the chemical element phosphorus. Nanoindentation tests have shown that the hardness of the Ti-25Nb-25Ta alloy is slightly lower than the commercially pure grade 2 titanium (a material used as reference), while elastic modulus measurements of Ti-25Nb-25Ta presented more suitable values for implant application (lower values when compared with titanium reference). After PEO treatment there were significant mechanical surface improvements (increased fairly surface hardness and decreased elastic modulus) for application in osseous tissue. In spite of the Ti-25Nb-25Ta alloy presented excellent characteristics for applications in hard biological tissues, the PEO treatment better its features.

Keywords: Titanium alloy, Ti-25Nb-25Ta, nanoindentation, mechanical properties, Plasma Electrolytic Oxidation.

1. INTRODUCTION

Metallic materials used in biomedical implants are predominant in orthopedic surgical procedures and represent the main function in most orthopedic products [1]. Despite existing numerous metals and alloys produced by industry, only a small number of metallic materials are able to longstanding success as an implanted device [2], since they must fulfill fundamental requirements, such as high corrosion resistance, biocompatibility, and suitable mechanical properties [2–7]. Among metallic biomaterials, titanium (Ti) and Ti alloys are commonly used as orthopedic devices, due to the high specific strength, low density, high corrosion resistance, and good biocompatibility [8–11]. The Ti-6Al-4V alloy was created for use in general structures and later adopted for biomedical applications [10]. However, this alloy has been questioned mainly by the toxicity of vanadium (V) and alu-

¹ LabNano, PIPE/ UFPR, institutional address (Rua Francisco H dos Santos, 100 - Jardim das Américas) Curitiba -PR, Brazil.

² Materials Research Institute, AIT, institutional address (Dublin Rd, Bunnavally) Athlone, Co. Westmeath, Ireland

³ Department of Mechanical Engineering/ UTFPR, institutional address (R. Dep. Heitor Alencar Furtado, 5000 - Cidade Industrial De Curitiba), Curitiba - PR, Brazil.

⁴C-LABMU, Physics Department/UEPG, institutional address (Av. General Carlos Cavalcanti, 4748), Ponta Grossa, PR, Brazil.

Department of Materials and Technology/ USP, institutional address (Av Ariberto Pereira da Cunha, 333), Guaratinguetá - SP - Brazil

minum (Al) in the human body [12–14]. Although the Ti-6Al-4V elastic modulus is lower when compared with Co-alloys and 316L stainless steel, its magnitude is still much superior when compared to the cortical bone. As a result, such mismatch may induce bone reabsorption by the stress shielding effect, when implanted in body regions submitted to high and cyclical loads [15–17]. Alternatively, aiming at rule out these problems, novel alloys were proposed in which niobium (Nb) or tantalum (Ta) substitute the toxic β-stabilizing V [10].

Nb is an excellent β -stabilizer in titanium alloys and shows great potential for osseous tissue treatments due to its reduced alloy's elastic modulus [9]. Tantalum (Ta) is well known for its high *in vitro/in vivo* biocompatibility [9,18,19]. Alloying these metals it is possible to create metallic materials with better mechanical behavior keeping the biocompatible characteristics [9,20].

Ta and Nb-based β - titanium alloys, also called the "second generation of titanium alloys", had a significant improvement when compared to α - β alloys (Ti-6Al-4V for example) [2]. The main benefit of these materials is lower elastic modulus, increased biocompatibility, and better corrosion resistance [2,21]. Among various β -alloys, Ti-25Nb-25Ta alloy exhibits interesting properties like very low modulus (55 GPa), excellent corrosion resistance and superelastic behavior [22]. Despite these excellent characteristics, these materials still demand surface modifications to induce bioactivity for fast healing and improved lifespan of the prostheses.

The surface has an essential function in the reaction of the biological environment with the implant. If surface topography [23,24], porosity [25], wettability [26,27], chemical composition and bioactivity [28] are in suitable ranges, the osseointegration process will occur [29].

The modification of surfaces through Plasma Electrolytic Oxidations (PEO) can successfully afford the aforementioned features by controlling the experimental conditions [30,31]. This technique has been efficaciously used for the surface treatment of Ta, Nb, Ti, and alloys for applications on biomaterials [32–38]. Thus, in the present paper, the Ti-25Nb-25Ta alloy was oxidized by PEO with phosphoric acid electrolyte and the experimental parameters were tailored to achieve favorable surface features for application on biomaterials area.

2. MATERIALS AND METHODS

2.1 Suface preparation

The cut and alloying process can be observed in reference [39]. The Ti-25Nb-25Ta alloy discs (2 mm thickness x 10 mm Ø) were wet-sanded successively with P220, P320, P600, P800, and P1200 silicon carbide grinding paper. After that, the *specimens* were cleaned for 15 minutes in propanone, ethanol, and distilled water sequentially in an ultrasonic bath. Finally, the discs were then left in the incubator for 24 hours at 40 °C.

2.2 Plasma electrolytic oxidation (PEO) conditions

Treatments were performed in an electrolytic cell using 1 mol.L⁻¹ H₃PO₄ electrolyte under constant 300 V (potentiostatic mode) provided by a Chroma 62024P-80-60 DC power supply during 60 seconds. The oxidation was carried out at room temperature, with constant stirring, and using a titanium bar as counter-electrode.



2.3 Morphology and structural characterization

For the microstructure characterization, the *specimens* were initially polished with 9 µm diamond suspension and colloidal silica. Then, ternary alloy disks were etched for 10 minutes in a solution composed by 3 mL of HF, 6 mL of HNO₃, and 91 mL of deionized water (Kroll solution, see reference [40]). Subsequently, the etched surface images were obtained by optical microscopy (Zeiss microscope).

Cross-sectioned *specimens* were analyzed by scanning electronic microscopy (SEM) (Tescan Vega 3 LMU). Crystalline phases were characterized by X-ray diffraction (XRD) in the $20^{\circ} \le 2\theta \le 85^{\circ}$ range and scan speed 1°/minute, in the Bragg–Brentano geometry with Cu Ka radiation (λ =1.54 Å). It was applied 15 kV in the energy dispersive X-ray spectroscopy (EDS) equipment to find the surface chemical composition. The measurements were done in three distinct ways: mapping mode for visualizing the elemental concentration; pointing mode to quantify the atomic percentage in distinct morphologies; and line mode at the cross-sectional regions. For cross-sectional observations, *specimens* were mounted into a plastic resin and then mechanically cut. After, the plastic resin was removed with immersion in propanone for 24 h. Only for the cross-section SEM observations, the *specimens* were covered with gold.

2.4 Hardness and Elastic Modulus

Elastic modulus *E* and hardness (*H*) were measured by instrumented indentation technique using a UNAT Nanoindenter (Zwick-Roell/Asmec), employing the Quasi-Continuous Stiffness Method (QCSM) and a Berkovich diamond tip. The maximum force was 500 mN. A sampling of 35 indentations was obtained on each surface.

3. RESULTS AND DISCUSSION

Fig. 1 shows the polished Ti-25Nb-25Ta alloy image obtained by an optical microscope after etched in Kroll solution for 10 minutes. The size of the grains was in the range of tens to hundreds of micrometers, while the shapes of the grains do not exhibit a preferential orientation. Furthermore, this result is similar to reported by Bertrand and co-workers for an alike Ti-25Nb-25Ta alloy [22].



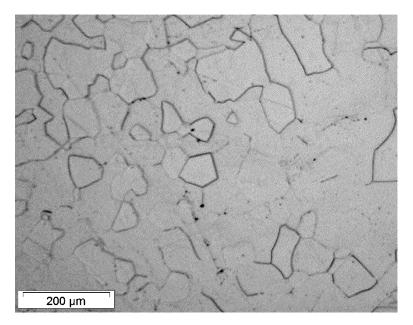


Fig. 1: Optical micrograph of the Ti-25Nb-25Ta microstructure obtained after immersion in Kroll solution.

Fig. 2 shows the X-ray diffractograms of the oxidized Ti-25Nb-25Ta alloy and the reference surface only polished. Both conditions disclosed the β -phase and α "-metastable phase peaks. The β phase is a result of the Nb and Ta elements in the Ti-25Nb-25Ta system, which play a role as β stabilizers [41]. The α " is a metastable phase with an orthorhombic crystal system, corresponding a stage between the Hexagonal Closed Packed (hcp) and the Body Cubic Center (bcc)[42–44]. There are no peaks ascribed to the oxide layer produced by PEO. The typical "hogback" in the 17°- 30° range corresponds to amorphous oxides, also observed on niobium coatings treated by PEO with phosphoric electrolyte [45]. In the XRD pattern of the Ti-25Nb-25Ta the hogback is related to the natural oxide that forms spontaneously over the alloy exposed to environments containing oxygen. Summarizing, the PEO process using phosphoric acid under 300 V produced an amorphous oxide layer.

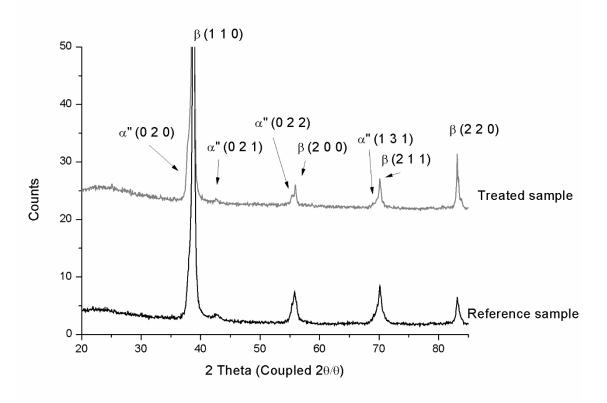


Fig. 2: XRD patterns of Ti-25Nb-25Ta alloy surface treated by the PEO process (grey line) and polished surface (black line).

Fig. 3 shows the surface morphology after treatment by Plasma Electrolytic Oxidation of the Ti-25Nb-25Ta alloy. The coating presented no visible cracks at the selected resolution. A porous formation can be observed over the entire surface, presenting two distinct morphologies. Areas with the smallest and flat-like pores (hole formation) are similar to the morphology observed in pure titanium treated by PEO using the same electrolyte [46]. Areas with the largest and prominent pores (volcano formation) are comparable with those produced on niobium PEO treated with phosphoric acid plus hydrogen peroxide [45]. Differently from titanium, in the niobium oxidation process, there is a previous formation before the volcano formation. This formation was described as a compact oxide surface covered with semi-spheroids distributed over the flat surface [38]. The discharge channels occur mainly onto the semi-spheroids due to the geometry, which has a more intense local electric field [45]. These events increase the local temperature melting the oxide. The melted oxide is removed from the discharge channel by forces and rapidly cooled when getting contact with the electrolyte [47] given the volcano shape [45]. Thus, the formation of the pores showed characteristics from niobium and titanium treated by PEO in the phosphoric electrolyte. Open pores are an important feature because the living tissue may grow into the cavities [48] providing better mechanical stability. Moreover, it was reported that the apatite growth initiates firstly inside the pore structures [49]. The pores formed here were in the nano and micrometrical range.

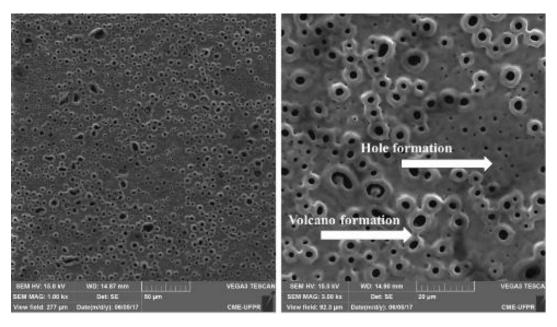


Fig. 3: SEM images at two magnifications (1 kX – right side and 3 kX – left side) of the Ti-25Nb-25Ta alloy oxidized in 1 mol. 1^{-1} H₃PO₄ under 300 V for 60 s.

Fig. 4 shows the chemical surface distribution of P, O, Ti, Nb, and Ta obtained by EDS in mapping mode. The estimated depth range probed by EDS inside Ti-25Nb-25Ta was about 0.8 μm for Ti and 1.0 μm for P and O, as inferred from the Kanaya-Okayama equation [50]. Hence, the electron volume of interaction was entirely inside the PEO coating, as seen further during the EDS analyses. The data displays the presence of substrate elements (Ti, Nb, and Ta) in the coating and P and O incorporated from the electrolyte. Most of the elements displayed an overall uniform distribution in the analyzed area. Phosphorus presented an evident contrast between areas with predominant large and small pores. Comparatively, regions with the smallest pores disclosed higher phosphorus concentrations. Three EDS point analyses were performed over the area with the smallest pores (points 1, 2, and 3), and the other 3 points over the biggest pores' regions (points 4, 5, and 6). Table 1 presents the average atomic percentage for each distinct region.

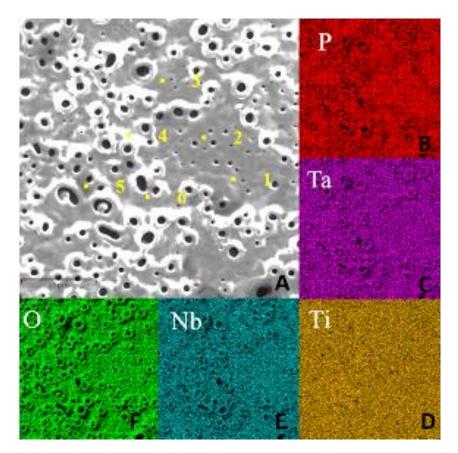


Fig. 4: (a) SEM image of the Ti-25Nb-25Ta region treated by the PEO process and after analyzed by EDS in mapping mode, as shown in the panels (b)-(f). The points 1-6 marked in (a) correspond to point EDS analysis (see Table 1).

The elements percentage of distinct morphologies are different. Comparing the region of the smallest pores with the region of the biggest pores it is possible to observe that the phosphorus percentage decreased by 41%, titanium and tantalum decreased by 22%, niobium by 9%, and oxygen increased by 11%. The region with the biggest pores had more intense discharges during the PEO process, probably due to lower electrical resistance during the oxidation process. The EDS results and SEM images suggest an inverse relationship between the discharge intensity (electrical conductivity) and PO⁻³₄ incorporation.

Table 1: The average atomic percentage of the region with small pores (points 1, 2, and 3) and that region with larger pores (points 4, 5, and 6) shown in Fig. 4, measured through EDS point analysis.

ELEMENT	ATOMIC PERCETAGE AVARAGE OF POINTS 1, 2, AND 3.	ATOMIC PERCETAGE AVARAGE OF POINTS 4, 5, AND 6.
Oxygen	68.6 ± 0.7	76.8 ± 0.6
Phosphorus	9.1 ± 0.4	5.4 ± 0.5
Titanium	16.3 ± 0.6	12.7 ± 0.1
Niobium	3.3 ± 0.3	3.00 ± 0.03
<mark>Tantalum</mark>	2.7 ± 0.2	2.1 ± 0.7

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Cross-sectional analyses of two different regions on the PEO-treated material are presented in Fig 5. The *specimen* was positioned at a low angle with the sectional plane that allowed observing the *specimen* in a high depth of field. Under this perspective, the oxide layer presents a rugged morphology that is very rough, rounded and the coating thickness is $(7 \pm 2) \mu m$. The EDS line scan (Fig. 5.c) was performed across the yellow line which begins on the layer top, crossing the coating and entering the metallic substrate. The vertical dash line represents the visual coating/substrate limit, while the profiles correspond to atomic percentages along the yellow line length. At the visually identified interface, the phosphorus and oxygen atomic concentrations decreased while Ti, Ta, and Nb percentages increased, confirming a transition between oxide and substrate. However, the transition between the oxide and the coating was gradual, presenting approximately 3 μm . This phenomenon can be explained by two effects: migration of ions at the interface, and because of the micrometer-sized volume of interaction produced by the incident electron beam within the bulk.

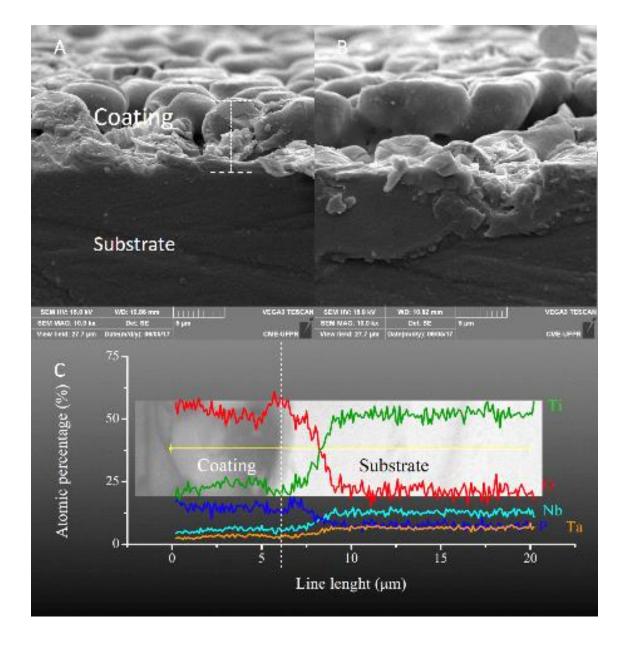


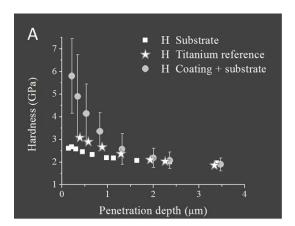


Fig. 5:: (a) and (b) SEM images of two distinct cross-section regions obtained on the oxidized Ti-25Nb-25Ta. (c) EDS line scan performed across the yellow straight line, starting at the very top surface of the PEO coating and entering the metallic substrate.

Elastic modulus (E) and Hardness (H) measurements of the oxidized surface and the polished alloy substrate are shown in Fig. 6, along with profiles of the polished commercially pure titanium surface. The comparison is worthwhile since α -Ti is largely used in the biomaterials area. Both H and E profiles of the Ti-25Nb-25Ta alloy were lower than those of the titanium. The addition of β -phase stabilizer elements improved mechanical properties of the alloy for implant use by lowering E.

Profiles obtained on the PEO-treated surface presented a larger dispersion than the polished surfaces, this is a result of the indenter interaction with asperities and pores. Such irregularities cause errors during the tip-surface contact, while the porosity affects the plastic deformation field [51,52]. The maximum depths reached in the instrumented indentation tests were in the ~3.5 µm of profundity. In spite of this, the influence zone of applied normal forces may surpass the coating thickness, depending on their magnitude. Another point is that PEO coatings are composed of different layers with distinct porosity [45], which certainly will have also different mechanical responses. Hence, hardness and elastic modulus profiles of Fig 6 correspond to a combination of coating and substrate responses [53]. The hardness of the coating plus substrate system was 5.8 GPa at shallow depths, a value 2.3 times superior to the polished Ti-25Nb-25Ta alloy (2.5 GPa) and twice as higher than the polished Ti reference (~3 GPa). Into higher penetration depths, the hardness profile converged to the substrate value (1.9 GPa).

The E profile of the coating plus substrate system was, on average, lower than the metallic alloy substrate (~70 GPa) and the polished Ti (~125 GPa). This result can partially be attributed to the layer porosity since the weighted fraction of pores contributes to the composite elastic modulus [54]. To sum up, the PEO with phosphoric acid improved, even more, the elastic modulus and hardness of the Ti-25Nb-25Ta surface for orthopedic implant applications. Higher hardness and elastic modulus near to the bone values are associated with the enhanced coating integrity, as well as to the bone mechanical acceptability. An elevated hardness is a property closely related to reducing wear, which is an important matter for considering in the relative motion and debris release at the implant site [55]. Alternatively, an artificial bone implant exposed to cyclical high loads may develop a biological negative phenomenon known as bone reabsorption, which is especially severe when elastic moduli of bone and implant differ significantly [7]. In that situation, the mechanical load is distributed in a non-uniform manner in the bone/implant interface. The osseous tissue will lack enough mechanical load solicitation, while the implant will carry most of the load. Over time, the bone environment will react losing osseous mass around the bone/implant interface [7], compromising the mechanical stability and the implant lifetime.



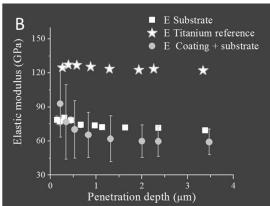


Fig. 6: (a) Hardness and (b) elastic modulus as a penetration depth function of the polished Ti-25Nb-25Ta alloy (substrate), titanium reference, and the treated Ti-25Nb-25Ta alloy surface by PEO (Coating + substrate).

4. CONCLUSIONS

The Ti-25Nb-25Ta alloy surface was treated by Plasma Electrolytic Oxidation with phosphoric acid. The treatment produced a porous coating with two types (volcano and hole formation) of a non-crystalline oxide formation. Regions with small pores presented higher phosphorus concentration than those with larger and volcano-like pores. The surface hardness of Ti-25Nb-25Ta had a significant improvement after PEO treatment. Likewise, the elastic modulus improved after treatment, reducing in comparison with the metallic substrate. Moreover, both substrate and coating disclosed more suitable mechanical properties values for use in bone implants than titanium commercially pure grade 2. In spite of the Ti-25Nb-25Ta alloy presented excellent characteristics for applications in osseous tissue, the PEO treatment better its features.

5. ACKNOWLEDGMENTS

The authors thank Fundação Araucária, GOI (Government of Ireland), and CAPES (Coordenação de Aperfeiçoamento de Pessoal de Nível Superior) for financial support; C-LABMU at State University of Ponta Grossa (UEPG), LabNano (laboratório de propriedades nanomecânicas), LORXI (Laboratório de Óptica de Raios-X e Instrumentação), CME (centro de microscopia eletrônica) at Federal University of Paraná (UFPR).

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ORCID

Gregory Beilner

https://orcid.org/0000-0002-9409-2326

Bruno Leandro Pereira

https://orcid.org/0000-0002-7039-168X

Carlos Maurício Lepienski

https://orcid.org/0000-0002-9759-9704

Bor Shin Chee

https://orcid.org/0000-0003-1606-1759



Michael J D Nugent

https://orcid.org/0000-0002-7469-4389

Ana Paula Rosifini Alves Claro

https://orcid.org/0000-0003-3353-4247

Gelson Biscaia de Souza

https://orcid.org/0000-0003-2347-1609

Aline Rosseto da Luz

https://orcid.org/0000-0002-0652-8901